AZ AHCCCS Authorization Addendum v1.0

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Addendum to

Authorization Specification v7.3

Version Update

Name	Title	Changes	Date
Pamela Brooks	Product Delivery Owner	Initial Draft	08.08.2019

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1 Overview

The OpenEVV Authorization interface specification is intended for a payer or provider to provide authorization information to Sandata regarding which clients are receiving specified services, the amount and the period during which the services are to be provided. This may also be referred to as Prior Authorization or Service Plan.

This addendum to the generic specification is intended to document the full file layout and attributes that have values specific for your program pertaining to the Authorization interface. All expected values, formatting and validation rules should be identified for each element, where applicable.

Complete Authorization interface transmission guidelines may be found in the generic specification provided during Implementation.

1.1 Intended Audience

The intended audience of this document is:
- Project Management and Technical teams at Sandata.
- Project Management and Technical teams at AZ AHCCCS who will be implementing this interface.

1.2 Authorization Interface Transmission Guidelines

- File Format: Delimiter separated values
- File Delimiter: Pipe (|); ASCII 124
- Headers: Required using the "Column Name" below
- File Extension: 'DSV'
- File Encryption: Will be encrypted using GPG
- Cryptographic Hash: SHA-3
- Control File: Will be included per the specifications
- SFTP site: State of AZ will host (exact location TBD)
- File Name: <PAYER>_EVV_Auth_20180817.dsv.gpg
- File Compression: [zip | no zip] NOTE Standard is to support one data file within a zipped/compressed file
- File Delivery: Initial FULL data file, followed by incremental on a DAILY basis. If there are no changes, an empty file will be Provided; data will be supplied by both the State and the MCOs; FFS (prior authorizations) will also come from the State

2 Data File Layout

Index	Element	Description	Max Length	Type	Required	Expected Value(s) Format / Validation Rules
1	PayerID	Sandata EVV assigned ID for the payer. Payer ID is determined during the implementation process.	64	String	Yes	See Appendix 1 PayerID column
2	PayerRegion	If applicable, the region in which this client is being provided services. Potential use and list of values to be determined during implementation.	2	String	Optional	CONFIRM if Regions will be used; if not, Do Not Provide
3	ClientQualifier	Value being sent to unique identify the client. Values: ClientSSN; ClientOtherID, ClientCustomID.	30	String	Yes	ClientCustomIDOtherID
4	ClientIdentifier	ID used to uniquely identify the client. ID type identified by ClientQualifier.	64	String	Yes	MedicaidID Format: A00000000; 9 char, starting w/ 1 UC letter [A-Z], followed by 8 digits [0-9]
5	ProviderQualifier	Identifier being sent as the unique identifier for the provider. Values: SandataID, NPI, API, MedicaidID, TaxID, Taxonomy, Legacy, Other.	30	String	Yes	MedicaidID
6	ProviderID	ID to uniquely identify the provider. ID type identified by ProviderQualifier.	64	String	Yes	FORMAT: 6 character string [000000], left padded with zeros
7	AuthorizationReferenceNumber	Unique identifier for the authorization generated by the payer's source system.	30	String	Yes	LIVE DATA
8	AuthorizationAmountType	The type of authorized amounts being supplied. Values: H = Hourly, V = Visit, U = Unit, M = Monetary.	1	String	Yes	H | V | U | M
9	AuthorizationMaximum	The maximum number of the specified type to allow. If the authorization is active, 0 in this field indicates unlimited. This is the overall limit and may be further limited by the Authorization Limits fields below.	6	Integer	Optional	LIVE DATA
10	AuthorizationStartDate	Start date for the authorization.	10	Date	Yes	FORMAT: YYYY-MM-DD
11	AuthorizationEndDate	End date for the authorization. Preference is to provide this value, if available.	10	Date	Optional	FORMAT: YYYY-MM-DD

Index	Element	Description	Max Length	Type	Required	Expected Value(s) Format / Validation Rules
12	AuthorizationShared	To be used, shared authorizations must be part of the program specifications. Denotes that the authorization being provided is part of a shared authorization set.	1	String	Optional	Default = N Values Y, N
13	AuthorizationComments	Free text comments. Used by the payer to include additional information. If value provided is greater than the maximum, the text will be truncated to the maximum size allowed.	256	String	Optional	LIVE DATA
14	AuthorizationLimitType	If the authorization has sub limits, the type of sub limits being detailed. Values: N = None, S = Specified Schedule, D = Day, W = Week, M = Month, Y = Year. Default = N.	1	String	Yes	N | S | D | W | M | Y | N
15	AuthorizationStatus	The status of the authorization. Values: A = Active, I = Inactive, V = Voided, 4 = Suspended. Default = A.	1	String	Yes	A | I | V | 4 | A
16	ServiceAuthorizedDate	Date the service was authorized/created.	10	Date	Optional	FORMAT: YYYY-MM-DD
17	AssessmentDate	Date of the client's assessment.	10	Date	Optional	FORMAT: YYYY-MM-DD
18	MemberContingencyPlan	Values: 1 = 2 Hours; 2 = Today; 3 = 48 Hours; 4 = Next Scheduled Service	50	String	Optional	1 | 2 | 3 | 4 Default = NULL
19	CaseManagerLastName	Case manager's last name	30	String	Optional	LIVE DATA
20	CaseManagerFirstName	Case manager's first name	30	String	Optional	LIVE DATA
21	CaseManagerEmail	Case manager's email address	64	String	Optional	FORMAT: xxx@yyy.zzz RULES: @ and extension (.zzz) are required to validate email address. Sandata validates for .com, .net and .org.
22	SegmentName	If this is a child segment, the name of the segment. Valid values are found above. NOTE: Field should be omitted when using the REST API.	30	String	Conditional	AuthorizationLimit | DiagnosisCode

Segment: AuthorizationLimit - Sub limits within the Authorization. If AuthorizationLimitType = N this section will be ignored. Allows specification of monthly sub limits as well as limits based on day of week, time of day or specific schedules. This is an optional segment.

Index	Element	Description	Max Length	Type	Required	Expected Value(s) Format / Validation Rules
23	AuthorizationServiceID	The procedure or service to be performed. This will correspond to a HCPCS code to be used in billing. These values will be established as part of the implementation process.	5	String	Yes	See Appendix 2 HCPCS column
24	PayerProgram	If applicable, the program to which this client belongs. Potential use and list of values to be determined during implementation.	9	String	Yes	See Appendix 1 ProgramID column
25	AuthorizationBillingType	Optional information which may control additional mapping including determining billing information including HCPCS code and modifiers. Potential use and values to be determined during the implementation process. Client may send this attribute but it will not be validated or stored in Sandata's DB. This field will not be included in the DW Export as well.	64	String	Optional	Determine if this will be used, and if so, what are the set values to be provided?
26	AuthorizationLimit	The limit value for the sublimit being specified. Required if this segment is provided. Client may send this attribute but it will not be validated or stored in Sandata's DB. This field will not be included in the DW Export as well.	5	String	Optional	Determine if sublimits will be provided, and if so, what limits will be used?
27	AuthorizationWeekStart	Required if AuthorizationLimitType is W.	3	String	Conditional	MON | TUE | WED | THU | FRI | SAT | SUN
28	AuthorizationLimitDayOfWeek	Required if AuthorizationLimitType is S or D.	3	String	Conditional	MON | TUE | WED | THU | FRI | SAT | SUN
29	AuthorizationLimitStartTime	Required if AuthorizationLimitType is S. Optional if AuthorizationLimitType is D. If AuthorizationLimitType = S, the schedule MUST start at the time specified. If AuthorizationLimitType = D, the start and end times provide a range in which services are to be delivered. Does not apply for other AuthorizationLimitTypes. Client or Account time zone is assumed.	4	String	Conditional	FORMAT: HHMM assuming a 24-hour clock.

Index	Element	Description	Max Length	Type	Required	Expected Value(s) Format / Validation Rules
30	AuthorizationLimitEndTime	Optional if AuthorizationLimitType is S. Optional if AuthorizationLimitType is D. If AuthorizationLimitType = S, the service is scheduled to end at the time specified. If AuthorizationLimitType = D, the start and end times provide a range in which services are to be delivered. Does not apply for other AuthorizationLimitTypes. Client or Account time zone is assumed.	4	String	Conditional	FORMAT: HHMM assuming a 24-hour clock.
31	Modifier1	First modifier if applicable. Authorizations may include modifier information to be used for service provision and billing.	2	String	Conditional	See Appendix 2 Modifier columns
32	Modifier2	Second modifier if applicable. Authorizations may include modifier information to be used for service provision and billing	2	String	Conditional	See Appendix 2 Modifier columns
33	Modifier3	Third modifier if applicable. Authorizations may include modifier information to be used for service provision and billing	2	String	Conditional	See Appendix 2 Modifier columns
34	Modifier4	Fourth modifier if applicable. Authorizations may include modifier information to be used for service provision and billing.	2	String	Conditional	See Appendix 2 Modifier columns
Segment DiagnosisCode: Diagnosis codes for the client. This is a repeating element. Only one diagnosis code can be indicated as primary. This is an optional segment.						
35	ClientDiagnosisCodeIsPrimary	Indicates that the diagnosis code is the primary code for the client. If more than one code is primary, first value designated as primary will be assumed to be primary. If no value is provided as primary, first value provided will be assumed to be primary. Required if segment is provided. Client may send this attribute but it will not be validated or stored in Sandata's DB. This	1	String	Optional	Y | N Default = N

Index	Element	Description	Max Length	Type	Required	Expected Value(s) Format / Validation Rules
		field will not be included in the DW Export as well.				
36	ClientDiagnosisCode	The client's diagnosis code in ICD -10 format. Client may send this attribute but it will not be validated or stored in Sandata's DB. This field will not be included in the DW Export as well.	10	String	Optional	FORMAT: ICD-10
37	ClientDiagnosisCodeBeginDate	The beginning date associated with the specified diagnosis code. Format YYYY-MM-DD. Client may send this attribute but it will not be validated or stored in Sandata's DB. This field will not be included in the DW Export as well.	10	Date	Optional	FORMAT: YYYY-MM-DD
38	ClientDiagnosisCodeEndDate	The ending date associated with the specified diagnosis code. Format YYYY-MM-DD. Client may send this attribute but it will not be validated or stored in Sandata's DB. This field will not be included in the DW Export as well.	10	Date	Optional	FORMAT: YYYY-MM-DD

Appendices

1   Payers & Programs

NOTE: 9 Payers; there will be no programs set up underneath any of the payers based on this approach.

UPDATE BASED UPON FINAL BUSINESS RULES WORKBOOK

Payer ID	Payer Name	Program ID	Program Name	Covered Services
AHCCCS	AHCCCS	N/A		ALL
AZDDD	AZ-DDD	N/A		ALL
AZACH	Arizona Complete Health	N/A		ALL
AZBUFC	Banner – University Family Care	N/A		ALL
AZCHP	Care1st Health Plan	N/A		ALL
AZMCC	Magellan Complete Care	N/A		ALL
AZMYC	Mercy Care	N/A		ALL
AZSHC	Steward Health Choice Arizona	N/A		ALL
AZUCP	UnitedHealthcare Community Plan	N/A		ALL

2   Services & Modifiers

NOTE: Each of the 9 payers will provide all services listed.

UPDATE BASED UPON FINAL BUSINESS RULES WORKBOOK

Payer	HCPCS	Mod1	Mod2	Mod3	Mod4	Description
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S5125					Attendant care services; per 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S5135					Companion care, adult (e.g. iadl/adl); per 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	T2021					Day habilitation, waiver; per 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	G0299					Direct skilled nursing services of a registered nurse (rn) in the home health or hospice setting, each 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	G0300					Direct skilled nursing services of a license practical nurse (lpn) in the home health or hospice setting, each 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	T1021					Home health aide or certified nurse assistant, per visit

Payer	HCPCS	Mod1	Mod2	Mod3	Mod4	Description
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	G0151					Services performed by a qualified physical therapist in the home health or hospice setting, each 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S9131					Physical therapy; in the home, per diem
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	G0152					Services performed by a qualified occupational therapist in the home health or hospice setting, each 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S9129					Occupational therapy, in the home, per diem
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S5181					Home health respiratory therapy, nos, per diem
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	G0153					Services performed by a qualified speech-language pathologist in the home health or hospice setting, each 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S9128					Speech therapy, in the home, per diem
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S9123					Nursing care, in the home; by registered nurse, per hour
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S9124					Nursing care, in the home; by licensed practical nurse, per hour
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S5130					Homemaker service, nos; per 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	T1019					Personal care services, per 15 minutes, not for an inpatient or resident of a hospital, nursing facility, icf/mr or imd, part of the individualized plan of treatment.
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S5150					Unskilled respite care, not hospice; per 15 minutes
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	S5151					Unskilled respite care, not hospice; per diem
AHCCCS, AZDDD, AZACH, AZBUFC, AZCHP, AZMCC, AZMYC, AZSHC, AZUCP	H2014					Skills training and development, per 15 minutes

3   Acronyms & Definitions

Abbreviation	Name
AKA	Also Known As
API	Application Programming Interface
GMT	Greenwich Mean Time
HTTP	Hyper Text Transfer Protocol
TBD	To Be Determined
UTC	Universal Time Coordinated

4   Terminology

Sandata Terminology	Other Possible References
Agency	Agency Provider Provider Account Billing Agency
Authorization	Service Plan Prior Auth
Client	Individual Patient Member Recipient Beneficiary
Contract	Program Program Code
Employee	Caregiver Admin Home Health Aide Consumer Directed Worker Staff

	Worker
	Individual Provider
	Scheduler
HCPCS	Bill Code
	Procedure Code
	Service Code
Payer	Admission
	Insurance Company
	Contract
	Managed Care Organization (MCO)
	State
Provider	Agency
	Third Party Administrator (TPA)