

# New Requirement Under “Ownership” Step In APEP

Recently AHCCCS implemented a requirement in the AHCCCS Provider Enrollment Portal which requires action of rendering/servicing provider in the “Add Provider Controlling Interest/Ownership Details”. AHCCCS is not intending to name rendering providers as “Owners”, and within the step, classifies them as rendering/servicing, as shown in the example below. The purpose of the updates is to provide an avenue for rendering/servicing providers to answer questions related to criminal convictions, adverse actions imposed by Medicare or other state/federal programs, and information related to adverse actions pursuant to 455 CFR subpart B.

Owner SSN/EIN/TIN	Owner Information	Owner Type	Address	Start Date	End Date	Relationship Status	Adverse Action	Percentage owned
[REDACTED]	[REDACTED]	Rendering/Servicing	[REDACTED]	09/19/2024	12/31/2999	Completed	Completed	100

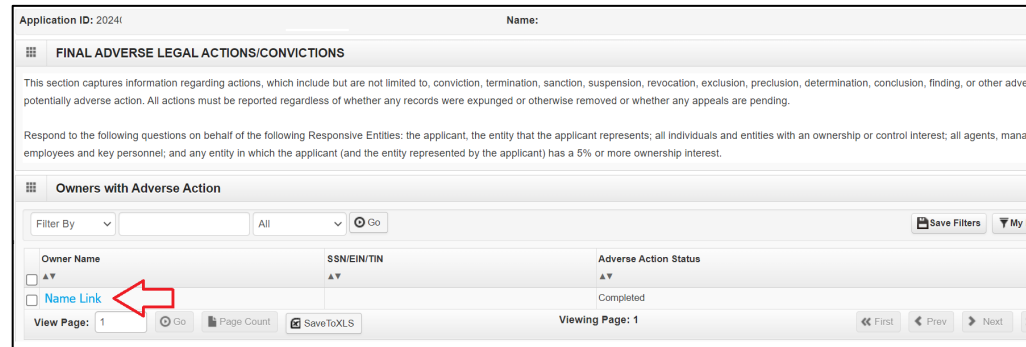
Follow the instructions below to complete the requirements of the step:

1	<p>Click into the step “Add Provider Controlling Interest/Ownership Details”</p> <ul style="list-style-type: none"><li>Step 1: Provider Basic Information</li><li>Step 2: Add Locations</li><li>Step 3: Add Correspondence Address</li><li>Step 4: Add Provider Type/Specialties/Subspecialties</li><li>Step 5: Associate Billing Provider/Other Associations</li><li>Step 6: Add License/Certification/Other</li><li>Step 7: Add Provider Controlling Interest/Ownership Details</li></ul>
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2 When providers click into the step, they will see their name and information automatically displayed. Using the “Actions” dropdown on the top left of the pane, select “Owners Adverse Actions”



3 Click on the providers name



4 Read and answer all the questions as they pertain to that provider and click “OK” at the bottom right of the page. You will have to click “OK” for both popup windows that appeared.

	<div data-bbox="499 99 1719 596"><p><b>Final Adverse Legal Actions/Convictions for Owner</b></p><p>1. Have any Responsive Entities, on or after August 21, 1996, been convicted (as defined in 42 C.F.R. § 1001.2, and including convictions that are the result of plea agreements, no contest plea, Alford plea, or nolo contendere plea) of any of the following:</p><ul style="list-style-type: none"><li>a. A federal or state felony;</li><li>b. Any criminal offense, under federal or state law, related to the delivery of an item or service under Medicaid, Medicare, AHCCCS, or a state health care program, including the performance of management or administrative services relating to the delivery of items or services under any such program;</li><li>c. Any criminal offense, under state or federal law, related to the abuse or neglect of a patient in connection with the delivery of a health care item or service, as further explained in 42 C.F.R. § 1001.101(b);</li><li>d. Any criminal offense, under federal or state law, related to the theft, fraud, embezzlement, breach of fiduciary duty, or other financial misconduct in connection with the delivery of a health care item or service, including the performance of management or administrative services relating to the delivery of items or services under any such program;</li><li>e. Any misdemeanor conviction, under federal or state law, related to the interference with or obstruction of any investigation into any criminal offense described in 42 C.F.R. § 1001.101 or 1001.201;</li><li>f. Any misdemeanor conviction, under federal or state law, related to the unlawful manufacture, distribution, prescription, or dispensing of a controlled substance; or</li><li>g. Any criminal offense related to public assistance or welfare fraud.</li></ul><p><input type="radio"/> Yes <input checked="" type="radio"/> No</p><p>2. Have any Responsive Entities been terminated, denied enrollment, suspended, revoked, precluded, determined ineligible, restricted by Agreement, or otherwise sanctioned by Medicare, AHCCCS, a Medicaid program in any other state, or any other governmental or private medical insurance program?</p><p><input type="radio"/> Yes <input checked="" type="radio"/> No</p><p>3. Have any Responsive Entities had their business or professional license, certification, permit, or the licensure of an entity in which they had an ownership interest of 5% or more ever been revoked, suspended, terminated, surrendered, placed on probation, or restricted by Agreement by any licensing authority in any State?</p><p><input type="radio"/> Yes <input checked="" type="radio"/> No</p><p>4. Is there currently any pending proceedings, such as but not limited to an indictment, pending plea, or investigation, that could result in any sanction, conviction (as defined in 42 C.F.R. § 1001.2, and including convictions that are the result of plea agreements, no contest plea, Alford plea, or nolo contendere plea), or action for any Responsive Entity?</p></div>	
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Once these steps are completed, you may proceed to complete the rest of the application.