



***Division of Behavioral Health Services  
Office of Compliance***

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**TO:** SMI Determination Contractors and T/RBHA Executive Officers

**FROM:** Margery Ault  
Deputy Director

William Eichelberger  
Bureau Chief of Policy

**SUBJECT:** **Policy Clarification: SMI Forms updated for use with ICD-10 code set**

**DATE:** September 29, 2015

This memorandum is intended to clarify the responsibilities of SMI Determination Contractors, Tribal and Regional Behavioral Health Authorities (T/RBHAs), and T/RBHA providers in meeting the Arizona Department of Health Services/Division of Behavioral Health Services (ADHS/DBHS) expectations regarding requirements in the following ADHS/DBHS policy:

- ADHS/DBHS Policy and Procedures Manual, Policy 106, *Serious Mental Illness (SMI) Eligibility Determination*

Effective October 1, 2015, SMI Determination Contractors and T/RBHAs will begin using the revised versions of [Policy Form 106.1, Serious Mental Illness Determination](#) and [Policy Form 106.2, Serious Mental Illness Determination Verification](#) which have been updated to include ICD-10 compatible codes. (See the attachments to this Memorandum for the updated forms.)

No additional changes have been made to the policy.

Policy Forms 106.1, Serious Mental Illness Determination and 106.2, Serious Mental Illness Determination Verification, will be posted on the ADHS/DBHS Policy and Procedure Manual - Forms page. T/RBHAs are expected to incorporate the forms into their Provider Manual by October 1, 2015.

Questions regarding this clarification may be directed to the ADHS/DBHS Policy Office at (602) 364-4655 or [PolicyUnit@azdhs.gov](mailto:PolicyUnit@azdhs.gov).