

**Janice K. Brewer, Governor**  
**Thomas J. Betlach, Director**

801 East Jefferson, Phoenix, AZ 85034  
PO Box 25520, Phoenix, AZ 85002  
Phone: 602-417-4000  
www.azahcccs.gov



*Our first care is your health care*  
ARIZONA HEALTH CARE COST CONTAINMENT SYSTEM

---

January 10, 2014

Cheryl Young  
Centers for Medicare & Medicaid Services  
Division of Medicaid & Children's Health Operations  
90 Seventh Street, Suite 5-300 (5W)  
San Francisco, CA 94103-6707

Dear Ms. Young:

Enclosed is Arizona State Plan Amendment (SPA) #14-001, effective January 1, 2014, which revises the State Plan to reflect updates to the licensing of certain health programs.

This submission formally executes a SPA name change to rename Arizona SPA 13-018, which was submitted on January 10, 2014. CMS asked that SPA 13-018 be renumbered as SPA 14-001 so that the SPA name is consistent with the calendar year that it was submitted in. CMS indicated that the new SPA 14-001 would retain the original submission date of January 10, 2014.

If you have any questions about the enclosed SPA, please contact Theresa Gonzales at (602) 417-4732.

Sincerely,

A handwritten signature in black ink, appearing to read 'Monica Coury', is written over a faint, light-colored signature line.

Monica Coury  
Assistant Director  
Office of Intergovernmental Relations

Cc: Wakina Scott



**Screening/Evaluation/Assessment:** Screening is an initial assessment to determine the need for behavioral health services. Assessment/evaluation is the assessment of a member's medical, psychological, psychiatric or social condition to determine if a behavioral health disorder exists and if so, to establish a treatment plan for all medically necessary services. This includes emergency/crisis evaluation and assessment.

Providers: Licensed practitioners of the healing arts and Behavioral Health Technicians (BHTs) under the clinical oversight of a Behavioral Health Professional (BHP). Crisis assessment/evaluation includes Behavioral Health Paraprofessionals (BHPPs) under the direct supervision of a BHP. (See Staff Qualifications Section)

Limitations: BHT's are limited to providing this service under an Arizona Department of Health Services Office of ~~Behavioral Health~~ Licensure (ADHS/~~OBH~~) licensed agency. BHPP's conducting crisis evaluations/assessments are limited to providing this service under an ADHS/OBHL licensed agency.

As an additional limitation, these services can only be provided in the following settings: office, home, urgent care facility, inpatient hospital, outpatient hospital, emergency room, inpatient psychiatric facility, community mental health center, rural health clinic, outpatient clinic, including Federally Qualified Health Centers (FQHCs), ~~rural Behavioral Health~~ substance abuse transitional agency, homeless shelter, medical day program, therapeutic day program, and behavioral health residential facility. ~~Level 2 behavioral health group home, and Level 3 behavioral health group home.~~

**Individual, Group and/or Family Therapy and Counseling:** Therapy and counseling services that address the therapeutic goals outlined in the service plan. Services may be provided to an individual, a group of persons, a family or multiple families. Family counseling may not include the member but must be for the direct benefit of the member.

Providers: Licensed practitioners of the healing arts and BHTs. (See Staff Qualifications Section)

Limitations: BHT's are limited to providing this service under an ADHS/DBHS licensed agency.

As an additional limitation, these services cannot be billed in combination with services in an institutional setting, residential treatment center, or ~~Level 2/3 group home~~ behavioral health residential facility.

TN No.: ~~10-009~~14-001

Supersedes \_\_\_\_\_ Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~ January 1, 2014

TN No.: N/A10-009

**Living Skills Training:** These services are provided for the restoration, enhancement, maintenance, and assistance in obtaining age appropriate independent living, social, and communication skills to members and/or their families in order to maximize the member's ability to live and participate in the community and to function independently. Examples of areas that may be addressed include self care, household management, social decorum, same-and opposite sex friendships, avoidance of exploitation, budgeting, recreation, development of social support networks and use of community resources. Services may be provided to a person, a group of persons or their families with the member present.

Providers: Licensed practitioners of the healing arts, BHT's, BHPP's, Home and Community Based Service (HCBS) Habilitation Providers (See Staff Qualifications Section)

Limitations: BHT's and BHPP's are limited to providing this service under an ADHS/~~OBHL~~ licensed agency or a State Certified Community Service Agency.

As an additional limitation, these services can not be billed in combination with services in an institutional setting, residential treatment center, or ~~Level 2/3 group home~~ [behavioral health residential facility](#).

**Health Promotion:** Education and training provided to a group of persons and/or their families related to the enrolled member's treatment plan on health related topics such as the nature of illness, relapse and symptom management, medication management, stress management, safe sex practices, HIV education and healthy lifestyles.

Providers: Licensed practitioners of the healing arts, BHT's, BHPP's (See Staff Qualifications Section)

Limitations: BHT's and BHPP's are limited to providing this service under an ADHS/~~OBHL~~ licensed agency or a State Certified Community Service Agency.

As an additional limitation, these services can not be billed in combination with services in an institutional setting, residential treatment center, or ~~Level 2/3 group home~~ [behavioral health residential facility](#).

**Supported Employment Services:** These services are designed to assist a person or group of persons with a medical/behavioral health condition that enables a member to function in the workplace. These services include supporting the member's ability to manage mental health related symptoms, facilitate recovery from mental illness; assist with personal, community and social competencies, and to aid members to establish and navigate environmental supports.

Providers: Licensed practitioners of the healing arts, BHT's, BHPP's (See Staff Qualifications Section)

Limitations: BHT's and BHPP's are limited to providing this service under an ADHS/~~OBHL~~ licensed agency or a State Certified Community Service Agency.

As an additional limitation, these services can not be billed in combination with services in an institutional setting, residential treatment center, or ~~Level 2/3 group home~~ [behavioral health residential facility](#).

TN No.: ~~10-009~~14-001

Supersedes \_\_\_\_\_ Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~ [January 1, 2014](#)

TN No.: ~~N/A~~10-009

**Family Support/Home Care Training:** These services include face to face interactions with a member's family and are directed toward restoration, enhancement, or maintenance of the family functioning to increase their ability to effectively interact and care for the member in the home and community when relevant to the member's treatment plan. May involve support activities such as assisting the family to adjust to the member's disability, developing skills to effectively interact and/or manage the member, understanding the causes and treatment of behavioral health issues, understanding and effectively utilizing the system, or planning long term care for the member.

Providers: Licensed practitioners of the healing arts, BHT's, BHPP's, Habilitation providers. (See Staff Qualifications Section)

Limitations: BHT's and BHPP's are limited to providing this service under an ADHS/~~OBHL~~ licensed agency or a State Certified Community Service Agency

As an additional limitation, these services can not be billed in combination with services in an institutional setting, residential treatment center, or [behavioral health residential facility Level 2/3-group home](#).

**Home Care Training to Home Care Client (HCTC):** These services are provided by behavioral health therapeutic home providers and are designed to maximize the member's ability to live and participate in the community and to function independently, including assistance in the self-administration of medication and any ancillary services (such as living skills and health promotion) indicated by the member's treatment plan as appropriate.

Providers: Behavioral health therapeutic home providers that are contracted with licensed behavioral health providers. Contractors are required to ensure that behavioral health therapeutic home providers have successfully completed pre-service training in the type of care and services required by the members being placed into the home. Behavioral health therapeutic home providers must have access to crisis intervention and emergency consultation services. A clinical supervisor must be assigned to oversee the care provided in the home.

Limitations: HCTC services can only be provided for no more than three adults in an Adult Therapeutic Foster Home licensed by ADHS/~~OBHL~~ or home licensed by federally recognized Indian tribes that attest to CMS via AHCCCS that they meet equivalent requirements. HCTC services can only be provided for no more than three children in a Professional Foster Home licensed by ADES or home licensed by federally recognized Indian tribes that attest to CMS via AHCCCS that they meet equivalent requirements. HCTC providers are reimbursed through their contractual agreement with the licensed behavioral health provider. These services do not include reimbursement for the cost of room and board. Services such as living skills, health promotion and home care training family services that are provided at this setting and billed as a component of HCTC, cannot be billed for separately.

(As an additional limitation, these services can only be billed in the behavioral health therapeutic home).

TN No.: ~~10-009~~14-001

Supersedes \_\_\_\_\_ Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~ January 1, 2014

TN No.: N/A10-009

**Peer Support Services:** Services provided by persons who have been consumers of the behavioral health system and who are at least 18 years old. Peer support may involve assistance with more effectively utilizing the service delivery system such as assisting with developing plans of care, accessing supports, partnering with professionals, overcoming service barriers or assisting the member to understand and cope with the member's disability, behavior coaching, role modeling and mentoring.

Providers: BHTs and BHPP's (See Staff Qualifications Section)

Limitations: BHT's and BHPP's are limited to providing this service under an ADHS/~~OBHL~~ licensed agency or a State Certified Community Service Agency.

As an additional limitation, these services can not be billed in combination with services in an institutional setting, residential treatment center, or ~~Level 2/3 group home~~ [behavioral health residential facility](#).

TN No.: ~~10-009~~14-001

Supersedes \_\_\_\_\_ Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~ January 1, 2014

TN No.: ~~N/A~~10-009

**Staff/Provider Qualifications**

Title of Licensed Practitioner of Healing Arts or Licensed Facility/Provider	Level of Education/Degree or Required Experience	License or Certification Required	State Law or Licensure
Licensed Behavior Analyst	Graduate degree, master's degree or doctoral degree from an accredited college or university or institution of higher learning accredited by a recognized accrediting agency. Minimum of 225 classroom hours of specific graduate level instruction that meet nationally recognized standards for behavior analysts as determined by the board.	Licensed by the Az <a href="#">Board of Psychologist Examiners Board of Behavioral Health Examiners</a>	A.R.S 32-2091. <del>4403</del>
Licensed Clinical Social Worker (LCSW)	Master degree or higher in social work from a regionally accredited college or university in a program accredited by the Council on Social Work Education or an equivalent foreign degree as determined by the Foreign Equivalency Determination Service of the Council on Social Work Education.	Licensed by the Az. Board of Behavioral Health Examiners	R4-6-401
Licensed Marriage/Family Therapist (LMFT)	Master degree or higher in a behavioral health science from a regionally accredited college or university whose program is accredited by the Commission on Accreditation for Marriage and Family Education or determined by the marriage and family credentialing committee to be substantially equivalent to a program accredited by the Commission on Accreditation for Marriage and Family Education.	Licensed by the Az. Board of Behavioral Health Examiners	R4-6-601
Licensed Professional Counselor (LPC)	Master degree or higher in counseling or related field from a regionally accredited college or university in a program that consists of 48 hours semester credit hours or a program accredited by CACREP or CORE in a program that consists of a minimum of 48 semester credit hours.	Licensed by the Az. Board of Behavioral Health Examiners	R4-6-501
Licensed Independent Substance Abuse Counselor (LISAC)	Master degree or higher from a regionally accredited college or university in a behavioral health service with a minimum of 24 semester credit hours of counseling related coursework as determined by the substance abuse credentialing committee.	Licensed by the Az. Board of Behavioral Health Examiners	R4-6-703
Behavioral Health Professional (BHP)	<u>Licensed under A.R.S. Title 32 whose scope of practice allows the individual to:</u> <u>a. Independently engage in the practice of behavioral health as defined in A.R.S. § 32-3251; or</u> <u>b. Except for a licensed substance abuse technician, engage in the practice of behavioral health as defined in A.R.S. § 32-3251 under direct supervision as defined in A.A.C. R4-6-101.</u> <del>A licensed psychologist, a registered nurse with at least one year of full time behavioral health work experience, or a behavioral health medical practitioner, licensed social worker, counselor, marriage and family therapist, behavior analyst or substance counselor licensed according to A.R.S. title 32, Chapter 33, an individual who is licensed or certified to practice social work, counseling or marriage and family therapy by a government entity</del>	Licensed by the respective professional discipline board.	R9- <del>2010</del> -101

TN No.: ~~10-009~~14-001

Supersedes \_\_\_\_\_ Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~January 1, 2014

TN No.: N/A10-009

Title of Licensed Practitioner of Healing Arts or Licensed Facility/Provider	Level of Education/Degree or Required Experience	License or Certification Required	State Law or Licensure
	<del>in another state and has documentation of submission of an application for Az certification per A.R.S , Title 32, Chapter 22 is licensed within one year of submittal of application.</del>		
Registered Nurse (RN)	Satisfactory completion of basic curriculum in an approved registered nursing program and holds a diploma or degree from that program.	Licensed by the Az. Board of Nursing	A.R.S 32-1632
Licensed Practical Nurse (LPN)	Satisfactory completion of basic curriculum in an approved practical or professional nursing program and hold a diploma, certificate or degree from that program.	Licensed by the Az. Board of Nursing	A.R.S 32-1637
Nurse Practitioner (NP)	Registered nurse who is certified by the board and has completed a nurse practitioner educational program approved or recognized by the board and educational requirements prescribed by the board rule.	Certified by the Az. Board of Nursing	A.R.S. 3-1601
Physician Assistant (PA)	Graduate from a physician’s assistant educational program approved by the board and licensed by the board.	Licensed by the Az. Regulatory Board of Physicians Assistants.	A.R.S. 32-2521
Psychologist	Doctoral degree from an institution of higher education in clinical or counseling psychology, school or educational psychology or any other subject area in applied psychology from an educational institution that has been accredited by a regional accrediting agency at the time of graduation.	Licensed by the Az. Board of Psychologists Examiners	A.R.S. 32-2071
Physician (MD, DO)	Graduate from an approved school of medicine or receive a medical education that the board deems to be of equivalent quality, successful completion of an approved 12 month hospital internship, residency or clinical fellowship program.	Licensed by the Az. Medical Board	A.R.S 32-1422
Psychiatrist (MD,DO)	Licensed physician who has completed three years of graduate training in psychiatry in a program approved by the American Medical Association or the American Osteopathic Association	Licensed by the Az. <del>Medical Board Board of Medical Examiners</del>	Title 36-501

TN No.: ~~10-009~~14-001

Supersedes \_\_\_\_\_ Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~January 1, 2014

TN No.: ~~N/A~~10-009

Title of Licensed Practitioner of Healing Arts or Licensed Facility/Provider	Level of Education/Degree or Required Experience	License or Certification Required	State Law or Licensure
<del>Behavioral Health Medical Practitioner (BHMP)</del>	<del>An individual physician, physician assistant or nurse practitioner licensed by authorized by law to use the prescribe medication and devices, as defined in A.R.S. § 32-1901, with at least one year of full-time behavioral health work experience.</del>	<del>Licensed by the respective professional board.</del>	<del>A.R.S 32-1901</del>
<del>Behavioral Health Out Patient Clinic Outpatient Treatment Center (merging w/ OTC to provide medical services)</del>	Licensed to provide services such as <u>primary care services</u> , counseling, medication services, court ordered evaluation and treatment and opioid treatment.	Licensed by ADHS/ <del>Office of Behavioral Health Licensure</del> (services provided by staff who are not independent billers are billed by the agency using HCPCS codes.	<del>R9-20-30410-10</del>
Behavioral Health Technician (BHT)	<del>Master's degree or bachelor's degree in a field related to behavioral health; is a registered nurse, is a physician assistant who is not working as a medical practitioner, has a bachelor's degree and at least one year of full-time behavioral health work experience; has an associate's degree and at least two years of full-time behavioral health work experience; has a high school diploma or high school equivalency diploma and a combination of education in a field related to behavioral health and full-time behavioral health work experience totaling at least two years; is licensed a practical nurse, according to A.R.S Title 32, Chapter 15, with at least three years of full-time behavioral health work experience; or has a high school diploma or high school equivalency diploma at least four years of full-time behavioral health work experience.</del> <u>Behavioral health technician" means an individual who is not a behavioral health professional who provides behavioral health services at or for a health care institution according to the health care institution's policies and procedures that:</u> <u>a. If the behavioral health services were provided in a setting other than a licensed health care institution, the individual would be required to be licensed as a behavioral professional under A.R.S. Title 32, Chapter 33; and</u> <u>b. Are provided with clinical oversight by a behavioral health professional.</u>	<del>BHT's working full-time receive at least four hours of clinical supervision by a BHP in a calendar month. Clinical supervision includes reviewing/discussing client behavioral health issues, services and records; recognizing and meeting the needs of clients who are seriously mentally ill or individuals with co-occurring disorders; reviewing/discussing other topics that enhance the skills and knowledge of staff members; providing a client with an assessment or treatment plan, determining whether an assessment or treatment plan is complete and accurate and meets the client's treatment needs.</del> <u>BHT's receive "Clinical oversight" which means</u> <u>a. Monitoring the behavioral health services provided by a behavioral health technician to ensure that the behavioral health technician is providing the behavioral health services according to the health care institution's policies and procedures,</u> <u>b. Providing on-going review of a behavioral health technician's skills and knowledge related to the provision of behavioral health services,</u> <u>c. Providing guidance to improve a behavioral health technician's skills and knowledge related to the provision of behavioral health services, and</u> <u>d. Recommending training for a behavior health technician to improve the behavioral health technician's skills and knowledge related to the provision of behavioral health services.</u>	<del>R9-20-20410-101</del>

TN No.: ~~10-009~~14-001

Supersedes Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~January 1, 2014

TN No.: N/A10-009

Title of Unlicensed Provider	Professional Requirements	Supervision	State or Licensure Law
Behavioral Health Paraprofessional (BHPP)	<p><del>Associate's degree, a high school diploma or a high school equivalency diploma, must be at least 21 years old and has the skills and knowledge necessary to provide behavioral health services that the agency is authorized to provide and meet the needs of client populations served by the agency. The skills and knowledge of the BHPP are verified by a clinical director, BHP or BHT with a combination of at least 6 years of education in a field related to behavioral health and full time behavioral health work experience; and either visual observation of the BHPP interacting with another individual such as role playing or; verbal interaction with the BHPP such as interviewing, discussion or question and answer or; a written examination. Behavioral health paraprofessional" means an individual who is not a behavioral health professional who provides behavioral health services at or for a health care institution according to the health care institution's policies and procedures that:</del></p> <p><del>a. If the behavioral health services were provided in a setting other than a licensed health care institution, the individual would be required to be licensed as a behavioral professional under A.R.S. Title 32, Chapter 33; and</del></p> <p><del>b. Are provided under supervision by a behavioral health professional.</del></p>	<p><del>BHPP's working full time receive at least four hours of clinical supervision by a BHP or BHT in a calendar month. Clinical supervision includes reviewing/discussing client behavioral health issues, services and records; recognizing and meeting the needs of clients who are seriously mentally ill or individuals with co-occurring disorders; reviewing/discussing other topics that enhance the skills and knowledge of staff members.</del></p> <p><del>BHPP's receive</del></p> <p><del>Direct supervision which means lower level professionals must have a supervisor and the supervisor must have immediate responsibility and oversight for all client contact by the supervisee. Normally this means lower level professionals work in an agency with a direct supervisor who is legally and financially responsible for all services provided by the supervisee.</del></p>	R9- <del>2010</del> -204 101
Community Service Agency (CSA)	<p>CSA's must be State Certified by ADHS/DBHS and be registered with AHCCCS. Staff provider qualifications include BHP's, BHT's and or BHPP's with a Department of Public Safety Fingerprint Clearance Card (<del>if working with persons under the age of 18 years</del>), current First Aid training, current CPR training and must meet qualifications per ADHS/DBHS policy MI5.2.</p>	<p>CSA's are re-certified every year by ADHS/DBHS.</p>	ADHS/DBHS policy MI 5.2
HCBS Certified Habilitation Provider	<p>Certified by the Department of Economic Security and registered with AHCCCS administration. The Habilitation Provider must receive an orientation to the unique characteristics and specific needs of the eligible person under their care. Habilitation Providers must be informed regarding whom to contact in emergency, significant events or other incidents involving the eligible person. Habilitation Providers primarily provide habilitation services under Arizona's 1115 Demonstration Waiver; however, Habilitation Providers are also authorized to provide rehabilitation services as described in specific settings above.</p>	<p>The behavioral health provider treatment team, as part of the service planning process, must periodically review services provided by Habilitation Providers. The behavioral health provider is responsible for the timely review and resolution of any known issues or complaints involving the member and a Habilitation Provider.</p>	Certified by DES/DDD.

TN No.: ~~10-009~~14-001

Supersedes \_\_\_\_\_ Approval Date: \_\_\_\_\_ Effective Date: ~~July 1, 2010~~ January 1, 2014

TN No.: ~~N/A~~10-009