

**SOLICITATION AMENDMENT #1**

<p align="center"><b>YH 14-0042</b></p> <p align="center">Data Analytics Solution for Program Integrity</p>	<p align="center">Solicitation Due Date:</p> <p align="center"><b>September 30, 2014</b> <b>3:00 pm Arizona Time</b></p>	<p>Procurement Officer:</p> <p>Mark Held</p> <p>Email:</p> <p><a href="mailto:Mark.Held@azahcccs.gov">Mark.Held@azahcccs.gov</a></p>
---	--	--

**A signed copy of this amendment must be submitted with your solicitation response.**

This Solicitation is amended as follows:

- A. The attached Answers to Questions are incorporated as part of this solicitation amendment.
- B. Integration within existing infrastructure is no longer a requirement. The PROJECT or SERVICE OVERVIEW/BACKGROUND on Page 4 is hereby amended to remove any reference to integration within existing infrastructure: “ The purpose of this Request for Proposal (RFP) is to solicit proposals from experienced vendors who provide software and services for the support of the Program Integrity activities for the lines of business supported by the Agency. AHCCCS seeks to enhance and increase the effectiveness of the current program integrity efforts through the use of existing software solutions.”
- C. Page 8, Section 5.5 is hereby revised, in order to correct a typographical error, as follows: “Current membership in Med-QUEST is approximately 400,000 (snapshot) with an approximately 40% churn rate.”
- D. Page 17, Special Instructions to Offerors, Section 3.1, is revised as follows: The page limit for the Method of Approach should be limited to 35 pages. Items that will be submitted electronically ONLY (i.e. 3.1.12, etc.) will not count toward this page limit.

<b>OFFEROR HEREBY ACKNOWLEDGES RECEIPT AND UNDERSTANDING OF THIS SOLICITATION AMENDMENT.</b>	<b>THIS SOLICITATION AMENDMENT IS HEREBY EXECUTED ON THIS DAY, IN PHOENIX, AZ.</b>
SIGNATURE OF AUTHORIZED INDIVIDUAL:	SIGNATURE: <b>SIGNATURE ON FILE</b>
TYPED NAME:	TYPED NAME: Meggan Harley, CPPO, MSW
TITLE:	TITLE: Procurement and Contracts Manager
DATE:	DATE: September 9, 2014



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
1.	CGI	n/a	n/a	The original RFI from AZ included requirements relating to Case Management. There appears to be no Case Management requirements in the RFP. Was this an oversight and AHCCCS is expecting the solution to contain Case Management capabilities? If not, how will AHCCCS address the tracking, prioritization, and organization of the cases?	We are not seeking Case Management capabilities. AHCCCS OIG uses an in-house product.
2.	CGI	Paragraph #2	4	The RFP states “the past utilization of this service was approximately \$1.7 Million expenditures over the life of the five (5) year contract.” Is the intent of this statement to indicate that AHCCCS expects the cost to be \$1.7 Million or less for the term of the contract being procured through this RFP?	This statement was intended to give potential Offerors an idea of current contract expenditures. It was over a 5 year period, and the new contract will be awarded for up to 7 years. AHCCCS expects the Offeror to provide the most competitive pricing.
3.	CGI	Paragraph #2	4	You indicated the vendor shall host the data analytics system with state owned data, uploaded via FTP. You also indicate that you want proven products than can be integrated within existing infrastructure. Can you please explain this further?	Integration within existing infrastructure is no longer a requirement.
4.	Estrada Consulting, Inc.	n/a	n/a	Is there an incumbent vendor providing these services for AHCCCS?	LexisNexis is providing the service.
5.	Estrada Consulting, Inc.	n/a	n/a	What is the budget for this project?	See Question #2. AHCCCS is seeking competitive pricing.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
6.	Analytics Partners			While the RFP states 5 years of history is required, can you clarify after the 5 year requirement, is there an archive requirement or is the data purged?	The periodic data refresh should replace the previous set of data entirely. There is no archive requirement and the old data must be purged.
7.	Cognosante	2. Project or Service Overview/Background	4	<ul style="list-style-type: none"> <li>Based on the past utilization of services, is the budget limited to \$1.7M? If not, is there a budget limitation?</li> <li>Did this past cost include Hawaii?</li> <li>Did the costs include the optional elements?</li> </ul>	<ul style="list-style-type: none"> <li>See Question #2.</li> <li>Yes, it includes Hawaii.</li> <li>No.</li> </ul>
8.	Cognosante	3.1 Proposed Method of Approach	17	Can we insert any video for part of our technical response? Since there is a limit of 25 pages, we may find it beneficial to use video for some parts of the approach.	RFP responses should not include videos, however, AHCCCS intends to schedule presentations from Offerors that are reasonably susceptible for award, and there will be an opportunity to show a video at that time. AHCCCS has increased the limit to 35 pages with this solicitation amendment.
9.	Cognosante	4 General Requirements	5-7	Regarding the optional elements, what is the ranking of need or preference of the optional elements?	There isn't a ranking.
10.	Cognosante	4.29 Planning, Training & Documentation	7	If there is a delay in the 1 Oct start date due to some unforeseen reason (protests, or other contractual issues) how will that impact the go live date?	If a delay occurs, AHCCCS would look to find resolution as quickly as possible, but it is unknown at this time if any impact would occur to the go live date.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
11.	Cognosante	4.30	7	Does the deployment date assume the deployment of all the Optional elements as well? Or can those elements be deployed after the initial go-live?	If optional elements will not be included in the initial go-live, they should be scheduled and priced accordingly.
12.	Health Integrity LLC	Exhibit A:Pricing Schedule	47	Please clarify the pricing requirement for the Implementation fee. Is AHCCCS expecting a fixed price for the overall implantation process that is broken down by milestone?	The vendor should set deliverable-based fees for each of the milestones listed in Exhibit A, Section 1.1.
13.	Health Integrity LLC	Paragraph 4.4	5	Please clarify "miscellaneous reference files." Can AHCCCS provide more detail on these files?	Bill Types, Health Plans, Places of Service, Speciality Codes, Provider Types, Categories of Service, Therapeutic Classes.
14.	Health Integrity LLC	Paragraph 4.5	5	Please clarify "other sources of information." When does AHCCCS plan to include this data? What are the other sources of information beside the Medicare data? Is the Medicare data claims only.	Medicare data is claims and encounters, the other data is TBD. See 4.6 the vendor should identify any other sources that it could bring to the solution.
15.	Health Integrity LLC	Exhibit A, 2 <sup>nd</sup> Chart (Arizona and Hawaii)	48	<p>The Chart request "Post Implementation Fixed Annual Fee – Arizona and Hawaii".</p> <ul style="list-style-type: none"> <li>• If awarded, are Arizona and Hawaii in a purchasing consortium? Will Arizona and Hawaii each enter into separate contracts with the Contractor? Will each state require separate terms and conditions, or honor the terms and conditions within the Arizona RFP?</li> <li>• Do the ownership rights of AHCCS include the</li> </ul>	<ul style="list-style-type: none"> <li>• There will be one contract with one set of terms that will cover activity for both Arizona and Hawaii.</li> <li>• Yes.</li> </ul>



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				Hawaii deliverables, reports and data?	
16.	Verisk Health	Scope of Work, 4.1	5	We noticed that the contract specifies post pay. Much of what we do and the value that we add is post-adjudication/pre-pay. Is AHCCCS open to solutions that are pre-pay and add incremental value to their process, or do we need to focus ONLY on post-pay solutions?	AHCCCS is only interested in Post Pay solutions due to the predominately managed care structure of our program.
17.	ASR Analytics, LLC	General Requirement 4.1		<p>The RFP General Requirement 4.1 states, “The Contractor shall provide a post payment solution to identify fraud, waste and abuse in both fee-for-service and managed care related claims and payments.”</p> <ul style="list-style-type: none"> <li>Does AHCCCS currently have any FWA detection methods, if so can you list them?</li> <li>Does AHCCCS currently collect and maintain all previous FWA cases identified, if so can you list the categories flagged and maintained?</li> </ul>	The vendor should propose their solutions for providing value in this area.
18.	ASR Analytics, LLC	General Requirement 4.4		<p>The RFP General Requirement 4.4 states, “The state-owned data shall be completely refreshed at least monthly and shall consist of five (5) years of claims and encounters, corresponding eligible recipients, five (5) years of active providers, and miscellaneous reference files.”</p> <ul style="list-style-type: none"> <li>Is the state responsible for data cleansing, performing ETL, and transferring the via FTP to the proposed system (See 4.2)?</li> </ul>	Yes.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
19.	ASR Analytics, LLC	General Requirement 4.5		<p>In the RFP General Requirements 4.5 states, “The system shall not rely solely upon AHCCCS claims and encounter data as AHCCCS may be integrating other sources of information such as Medicare Parts A, B, C and D data”.</p> <ul style="list-style-type: none"> <li>• Can the state provide estimates of the data volumes (size in terms of numbers of records and fields) for the “other sources of information”?</li> <li>• What format are these sources currently stored (e.g., SQL Server, Oracle, DB2, etc.)?</li> </ul>	<ul style="list-style-type: none"> <li>• Medicare claim and encounter volume is relatively small. The expectation is that it will be no more than 10% of the Medicaid claim and encounter volume.</li> <li>• All data will be supplied as flat text files in a pre-defined layout and file format.</li> </ul>
20.	ASR Analytics, LLC	RFP General Requirement 4.6 OPTIONAL ELEMENT		<p>The RFP General Requirement 4.6 OPTIONAL ELEMENT states, “The contractor may leverage other external data sources or metadata capabilities in addition to state owned for analysis of potential provider and member fraud, waste and abuse.”</p> <ul style="list-style-type: none"> <li>• Does the state currently leverage any other external data sources for the purposes of identifying fraud, waste, and abuse?</li> <li>• Does the state have any data use agreements or share data with other state agencies?</li> </ul>	<ul style="list-style-type: none"> <li>• Yes. Public and Proprietary data sources.</li> <li>• Yes.</li> </ul>
21.	ASR Analytics, LLC	RFP General Requirement 4.14		<p>The RFP General Requirement 4.14 states, “The system shall be pre-loaded with a comprehensive set of fraud algorithms and an efficient process must be in place to refine and maintain the contractor’s algorithms and add OIG custom algorithms to this set at least quarterly to address emerging fraud schemes.”</p>	<p>The vendor should propose their solutions for providing value in this area. OIG may provide new/modified algorithm requirements, but the vendor will be responsible for the development and deployment.</p>



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<ul style="list-style-type: none"> <li>What does the state consider to be a comprehensive set of algorithms?</li> <li>Does the state currently employ algorithms of this nature and, if so, what quantitative techniques are being used?</li> <li>What level of statistical training will users who maintain and develop algorithms have?</li> <li>What level of statistical programming skills will users who maintain and develop algorithms have?</li> </ul>	
22.	ASR Analytics, LLC			<p>The RFP General Requirement 4.15 states, "The system shall assess the appropriate use of Diagnosis Codes, Procedure Codes (CPT and HCPS) Revenue Codes and Modifiers (as defined by the Center for Medicare and Medicaid Services and the AMA) and report any discrepancies."</p> <p>Does the state intend for this requirement to cover all types of medical claim code groupers and encounter groupers for the purposes of identifying coding errors and potential fraudulent activity?</p>	The vendor should propose their solutions for providing value in this area.
23.	ASR Analytics, LLC			<p>The RFP General Requirement 4.19 states, "The system shall include integrated link analysis in order to identify associations between and among providers and members that indicate potential collusive fraudulent activity."</p> <ul style="list-style-type: none"> <li>Does AHCCCS currently have this capability?</li> </ul>	The vendor should propose their solutions for providing value in this area.





## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
24.	ASR Analytics, LLC			<p>The RFP General Requirement 4.19.2 OPTIONAL ELEMENT states, “Additional sources of data may be used to supplement and enhance analysis. When applicable, geospatial mapping should be used to display the results.”</p> <ul style="list-style-type: none"> <li>• Does AHCCCS currently utilize any additional data sources and/or geospatial mapping?</li> </ul> <p>Does AHCCCS currently have licenses for geocoding software (i.e., ESRI ArcGIS) that could be leveraged to geocode data? If so, please identify the software packages that will be available for this project.</p>	The vendor should propose their solutions for providing value in this area.
25.	ASR Analytics, LLC			<p>The RFP General Requirement 4.21 OPTIONAL ELEMENT states, “The system should leverage agency and vendor data where possible to evaluate member eligibility determinations to analyze potential accuracy or risk.”</p> <ul style="list-style-type: none"> <li>• Can the state list any vendor provided data sources that they currently obtain?</li> <li>• Does the state currently obtain the SSA Master Death File?</li> <li>• Does AHCCCS have data sharing agreements in place with other state agencies to obtain information such as business licensure, AZ Department of Transportation Motor Vehicles, AZ Department of Revenue?</li> </ul>	<ul style="list-style-type: none"> <li>• AHCCCS uses ACJIS, Accurint and Clear currently</li> <li>• Yes</li> <li>• Yes, SSA and DMV.</li> </ul>
26.	ASR Analytics, LLC			<p>The RFP General Requirement 4.24 states, “The AHCCCS user must be able to save detailed query results and</p>	No specific format is required. AHCCCS staff commonly work with Microsoft Excel for



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<p>reports generated by the system, onto the AHCCCS network.”</p> <ul style="list-style-type: none"> <li>Does the state have specific file format requirements for system output (XML, XLS, CSV)?</li> </ul>	Windows. The vendor should specify available formats.
27.	ASR Analytics, LLC			<p>The RFP General Requirement 4.27 OPTIONAL ELEMENT states, “The system should include online help to assist the AHCCCS user in navigating and understanding the system functions and features.”</p> <ul style="list-style-type: none"> <li>Is the “online help” limited to web-based resources (i.e., HTML and PDF files), or is a chat feature with contractor staff required?</li> </ul>	Web based.
28.	ASR Analytics, LLC			<p>RFP Section 5 Assumption 5.5 states, “Current membership in MED-QUEST is 400,000 million.”</p> <ul style="list-style-type: none"> <li>Can the state confirm if this number is 400,000?</li> </ul>	Yes, it is 400,000.
29.	ASR Analytics, LLC			<p>The RFP states in several subsections (e.g., 3.1.12, 3.1.14, 3.1.15, and 3.1.16) should be submitted electronically as separate files. Is there a page limit to these additional files? Do these files count against the 25 page limit?</p>	No, the electronic files may be larger, as necessary and will not count toward the page limit. Additionally, AHCCCS has increased the limit to 35 pages with this solicitation amendment.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
30.	ASR Analytics, LLC			<p>RFP Section 2 Background states that AHCCCS seeks a solution, “with proven products that can be readily integrated within existing infrastructure”. In addition, during the RFI phase, the state requested that the proposed system integrate with and/or leverage the state’s existing software tools where possible. Specifically the state identified, Cognos for Business Intelligence/Reporting and Informatica for extract, transform, and load (ETL) processing.</p> <ul style="list-style-type: none"> <li>Does the state prefer the use of these existing Informatica and Cognos tools?</li> <li>Does the State have other standardized software they expect the vendor to use for activities such as Analytical Modeling, Business Intelligences Reporting and ETL (extract, transform and load)? If so, please advise what these tools are, i.e. vendor, product name, and release.</li> </ul>	See answer to #3.
31.	ASR Analytics, LLC			<p>In Exhibit A: Pricing Schedule Post-Implementation Fees are required for Arizona Only as Arizona and Hawaii combined. Are the data inputs from Hawaii and Arizona similar in format and layout, or do they differ? If there is a difference in Hawaii and Arizona data inputs, please describe.</p>	The structure of Arizona’s and Hawaii’s data will be substantially the same in the data provided to the vendor.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
32.	ASR Analytics, LLC			<u>Re Page 8, Data Volumes:</u> Can the state estimate the total data volume in terabytes or gigabytes that will be required in this solution at each year-end of the project so we can see the anticipated data space growth required over the life of the entire project? (There is no need for index or temporary spaces; the volume of data to be loaded will be fine.)	Section 5 of the Scope of Work indicates the annual claim and encounter volume for Arizona and Hawaii. The vendor should extrapolate the data volume based on the amount of data per claim it requires for its solution.
33.	ASR Analytics, LLC			<u>Re Page 8, Inventory of Source Systems and Tables for Analytics:</u> Can the State provide an inventory of source systems and their tables to include in this solution, e.g. the estimated number of data elements (i.e., columns), any complex data transformations required, update frequency, update volume, current size (storage), and required/desired history load?	See answer to Question 32. All data will be supplied as flat text files via FTP in a pre-defined layout and file format. No interface with AHCCCS' source systems will be provided.
34.	ASR Analytics, LLC			<u>Mainframe Connectivity:</u> Will the state need direct connectivity between their mainframe or mainframes and this solution? If yes, then for each mainframe: <ul style="list-style-type: none"> <li>• Please stipulate that it should be with either FICON or ESCON?</li> <li>• If the state is planning to host the solution, what is the approximate distance between each mainframe and the intended solution platform's location? If the state won't be hosting this solution, please provide the approximate location (city will be fine) so we can plan</li> </ul>	We are going to provide flat data files. The vendor will not have access to any of our source systems. See answer to Question 33.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<p>telecommunications.</p> <ul style="list-style-type: none"> <li>What are the manufacturer and model of the mainframe?</li> </ul>	
35.	ASR Analytics, LLC			<p><u>Security:</u></p> <ul style="list-style-type: none"> <li>Does the state wish to encrypt data-at-rest with this solution? This prevents unauthorized access by anyone who defeats normal system access controls.</li> <li>Does the state wish to tokenize data-at-rest within this solution? Like encryption, tokenization also prevents unauthorized access to selected sensitive columns of data. Tokenization can be used as an alternative to encryption on a column-by-column basis. It can preserve column length, which encryption typically doesn't, and thus is useful in circumstances where doing so is important. As with encryption, this prevents unauthorized access by anyone who defeats normal access controls.</li> <li>Does the state wish to encrypt entire disk units within this solution's hardware? (Disk level encryption, column-level encryption, and column-level tokenization as above can occur simultaneously.) This prevents unauthorized access to all data in the event of the theft of disks and/or disk arrays.</li> </ul>	<p>See Scope of Work Section 4.3. As a HIPAA Business Associate, the vendor will have possession of Protected Health Information for AHCCCS' members. As such it will be responsible and liable for protecting that data under HIPAA security rules and all other Federal and Arizona law. The vendor should take all measures it deems necessary to protect itself from network intrusion and data theft. AHCCCS is not defining specific techniques beyond what is required by law.</p>



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<ul style="list-style-type: none"> <li>Does the state wish to have Teradata harden the operating system and periodically audit the OS to help prevent unauthorized intrusions through known OS vulnerabilities?</li> </ul>	
36.	ASR Analytics, LLC			<p><u>Backup and Recovery (BAR):</u></p> <ul style="list-style-type: none"> <li>Can the state define re the Recovery Point Objective and Recovery Time Objective for the backup of this solution?               <ol style="list-style-type: none"> <li>i. The recovery time objective (RTO) is the duration of time within which data and processing must be restored after a disaster or disruption.</li> <li>ii. The recovery point objective (RPO) is the maximum tolerable time period in which data can be lost from an IT service due to a major incident.</li> </ol> </li> <li>Does the state have an existing BAR system or vendor that we should plan to integrate with? If so, please describe the BAR solution software and hardware and the BAR vendor name.</li> <li>Does the state wish to encrypt data on BAR media?</li> <li>Does the state want to use backup-to-disk technology, or tape media, or both?</li> </ul>	<p>The vendor may propose backup and recovery value added as part of its proposal. AHCCCS would prefer recovery within 24 hours. See answer to Question 35 regarding data security.</p> <p>Integration with existing AHCCCS backup and recovery systems will not be necessary.</p>



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
37.	ASR Analytics, LLC			<p><u>Test-Dev Environments; Dual Systems Options:</u></p> <ul style="list-style-type: none"> <li>Does the state require a physically separate <u>platform</u> for a test &amp; development system for this solution (vs. production), or will a single physical platform with multiple data sets &amp; databases suffice (e.g. one set of tables for production, one set of tables for development, and possibly one set of tables for test, all on the same physical platform)?</li> <li>If the state requires physically separate <u>platforms</u> for production &amp; test-dev, will the state allow coordination between the two systems in order to provide automatic failover between them in the event of a disaster? In such a scenario, critical production analytical applications and their related production data are kept fully available to the user community at all times. This can eliminate the need for a separate disaster recovery system and possibly result in smaller systems overall, as they would be sized appropriately for the critical applications. This type of solution can include workload balancing between the platforms to ensure delivery of service within service level agreements.</li> </ul>	We do not have any requirement and the vendor should propose a solution.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
38.	ASR Analytics, LLC			<u>Extract Files:</u> Will the State provide extract files from their legacy systems in a mutually agreed upon format where these files are accessible on the network or will the vendor have access to these systems in order to build the extracts?	See answer to Question 33.
39.	ASR Analytics, LLC			<u>Data Governance Policy:</u> Does the State have a Data Governance policy in place to manage the various agencies that will be providing and using the data involved in this project? If so, can you please provide us with a copy of it?	AHCCCS is not aware of any statewide data governance policy.
40.	ASR Analytics, LLC			<u>Time Service Level Goals:</u> <ul style="list-style-type: none"> <li>• Can the state stipulate an acceptable lag time for introducing data into the system from the various source systems?</li> <li>• Can the state stipulate an acceptable time for system response to a user request for information?</li> </ul>	A week to perform the periodic data refresh would be an acceptable lag time, and response to information requests within 24 hours.
41.	ASR Analytics, LLC			<u>Other Potential Vendors:</u> Is the State willing to provide a list of vendors who respond with questions or who have expressed interest in the Project?"	All questions about the RFP are answered on this document.
42.	Verizon	Scope of Work: General Requirements 4.4	5	Please provide additional clarity or examples of data contained within the 'miscellaneous reference files.'	Codes and Descriptions related to Bill Type, Health Plan, Place of Service, Speciality Code, Provider Type, Category of Service, Therapeutic Class.





## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
43.	Verizon	Special Instructions to Offerors: 3.1.5	18	Please clarify what the agency intends to do with the Medicare data. Are these dual eligibles?	Yes they are dual eligible and the vendor should propose uses for the Medicare data.
44.	Verizon	Special Instructions to Offerors: 3.1.8	18	Please describe the Agency's expectations for disaster recovery.	See answer to Question 36.
45.	Verizon	Special Instructions to Offerors: 3.1.19	19	Please provide the Agency's definition of geospatial mapping.	AHCCCS does not assign meaning to the term beyond the common usage. It refers to mapping street addresses to geographic coordinates.
46.	Verizon	Scope of Work: General Requirements	5	Please confirm the General Requirements outlined under Scope of Work Section 4 are for reference only and do not require individual responses from Offerors.	Yes, that is correct. The Offeror will respond to the Method of Approach items in the Special Terms and Conditions which were designed to cover most of the requirements in the Scope of Work.
47.	Verizon	Scope of Work: Project or Service Overview	4	Please describe the capabilities and technology the Agency currently has for fraud, waste, and abuse case management? How is the data analytics solution expected to integrate with existing program integrity case management systems?	See answers to Questions #1 and #3 above.
48.	Verizon	Scope of Work, General Requirements	5	How many other sources of information exist and what are they?	The vendor should propose the types and usage of other data sources for adding value in its proposal.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
		4.5			
49.	Verizon	Scope of Work, General Requirements 4.6 OPTIONAL ELEMENT	5	Does state have access to any of the external data sources? If so what are they?	Same answer as above.
50.	Verizon	Not addressed in RFP document		<p>AHCCCS RFP does NOT address “Disclaimer of Certain Damages / Limitation of Liability”. Will the State of Arizona or AHCCCS consider Disclaimer of Certain Damages / Limitation of Liability language to be added to the final award contract?</p> <p>Sample Language:  <b>Disclaimer of Certain Damages.</b> No party to this Agreement is liable to any other for any indirect, consequential, exemplary, special, incidental or punitive damages, or for loss of use or lost business, revenue, profits, savings, or goodwill, arising in connection with this Agreement, the Services, related products, or documentation, even if the party has been advised, knew or should have known of the possibility of such damages. Nonetheless, each party is liable to the other for consequential damages resulting from its breach of confidentiality obligations under this Agreement.</p> <p><b>Limitation of Liability.</b> Without limiting the provisions of</p>	No, AHCCCS cannot agree on a disclaimer or any limitation of liability.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<p>the Disclaimer of Certain Damages sub-section above, the total liability of either Customer or Verizon in connection with this Agreement and the Services is limited to the lesser of (i) direct damages proven by the claiming part(ies) or (ii) the aggregate amounts paid by Customer to Verizon under this Agreement for the six months prior to accrual of the latest cause of action for which the limitation of liability under this sub-section is being calculated (excluding amounts for equipment and the Services of Verizon ILECs, Cybertrust, and Verizon Wireless). Verizon's liability with respect to individual Services may also be limited pursuant to other terms and conditions of this Agreement.</p> <p><b>Exclusions.</b> The Limitation of Liability sub-section above does not limit (A) any party's liability: (i) in tort for damages proximately caused by its willful or intentional misconduct, or by its gross negligence, or (ii) where mandatory local law does not allow the limitation, (B) Customer payment obligations under this Agreement, (C) Verizon obligations to provide credits and waivers under this Agreement or (D) any party's indemnification obligations under this Agreement.</p>	
51.	Verizon			Is 508( c ) compliance required for this solution?	As an agency that receives federal funding, we maintain compliance with Section 508.
52.	Noridian Healthcare	4.14	5	Section 4.14 "Comprehensive set of "fraud algorithms" - what is considered under the term "fraud"? Does it	Yes, comprehensive fraud algorithms should include all improper payments (fraud, waste and



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
	Solutions (NHS)			include abuse, waste, and all “improper payments?”	abuse).
53.	Noridian Healthcare Solutions (NHS)	4.4	5	In section 4.4 you indicate that the state owned data should consist of 5 years, is the expectation that the analytics and “fraud algorithms” will be run against the full dataset, or an appropriate dataset, with the 5 years there for historical reference as needed?	There is no specific expectation. The vendor will have 5 years of data and should propose options that provide the greatest value in its proposal.
54.	Noridian Healthcare Solutions (NHS)	4.11	5	In section 4.11 you require that AHCCCS user level permissions will be administered by AHCCCS OIG staff. Can you provide additional information about the desired user level permissions? Additionally, to confirm, is the requirement that all user level management and privileges will be maintained by AHCCCS OIG staff, and not by the vendor?	The vendor should propose options for user administration.
55.	Noridian Healthcare Solutions (NHS)	4.16	6	Section 4.16 requires an integrated CCI editing functionality. As this is a post payment implementation, is the primary desired state to flag specific claim lines that violate CCI edits, or to aggregate these violations at the provider level, and be scored, adding to other risk scores, or both	The solution should flag specific claim lines, but the vendor may propose additional value-added reports and scoring as it wishes.
56.	Noridian Healthcare Solutions (NHS)	4.20	6	An optional element asks about the ability to support the Provider Screening and Enrollment regulations. Is the intent of this element to capture the data elements, specifically license verification and database checks only?	The vendor should propose solutions consistent with the referenced section of the Affordable Care Act.
57.	Noridian Healthcare Solutions (NHS)	4.24	6	Section 4.24 requires that a user must be able to save results onto the AHCCCS network. What would be the desired formats of these files?	See answer to Question 26.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
58.	Noridian Healthcare Solutions (NHS)	2	17	Can AHCCCS share the relative weighting of the evaluation criteria?	The evaluation Criteria are listed in order of importance.
59.	Noridian Healthcare Solutions (NHS)	4.4	5	Will 5 years of historical data be required to be loaded prior to go-live? If so, what is the source of this data? Who is responsible for validating data accuracy and completeness on the historical data?	Yes, all data must be loaded by the go-live date. All SOURCE data accuracy and completeness is the responsibility of AHCCCS.
60.	Noridian Healthcare Solutions (NHS)	4.4	5	In regards to on-going data loads, will AHCCCS receive the encounter data from the MCOs and then pass this data to the vendor? If this is the case, will AHCCCS help identify / resolve data quality and completeness issues that arise? If this is not the case, is the vendor expected to work with the MCOs to obtain the needed encounter data – and to resolve any issues that surface?	See answer to Question 59. The vendor will not have direct contact with the MCOs under this contract. Resolving data issues with the MCOs is the responsibility of AHCCCS.
61.	Noridian Healthcare Solutions (NHS)	General	n/a	What are the operational staffing support expectations? Is any staff expected to be on-site? Is any staff expected to be 100% dedicated to this account?	No vendor staff are required to be on-site. Vendor staff allocation is not specified, although AHCCCS recognizes the value in having dedicated staff assigned to the contract.
62.	Noridian Healthcare Solutions (NHS)	General	n/a	Will the solution have identical functionality and configurations for both the AHCCCS and Med-Quest users (for example, will the UI be consistent for both sets of users?); If differences are expected, can the Department please define their expectations here?	No differences are required for AHCCCS and Med-Quest users at this time.
63.	Noridian Healthcare Solutions (NHS)	4.29.2	7	Will user training be conducted via WebEx or in-person? If in person, please specific the locations where training would need to be provided. Should in-person training be required, does AHCCCS and Med-Quest Staff have the	The vendor may propose on-site training at AHCCCS headquarters in Phoenix, but it is not a requirement. Training for Med-Quest would be online only.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				necessary training facilities (rooms, PCs, projectors, etc.)	
64.	Noridian Healthcare Solutions (NHS)	4.31	7	Does the Department intent to seek CMS review and/or certification of this solution – based on the MECT checklist?	CMS will include MECT compliance as part of their contract proposal review. No official certification is anticipated.
65.	Noridian Healthcare Solutions (NHS)	General	n/a	Is a case tracking solution required – or will the Department retain their existing solution? If a new case tracking solution is required, will the vendor be responsible for migrating any cases in the current solution to the new solution?	See answers to Questions #1 and #3 above.
66.	Health Management Systems, Inc. (HMS)	Special Instructions to Offerors, Proposed Method of Approach, 3.1	17	Per 3.1, “Please note that due to the size of some items, printed hard copy submission is not required, only electronic submission”. Please confirm that vendors are to submit hard copies of proposals per instructions in <b>3. Proposal Information</b> with the exception of items specifically noted in 3.1.1-3.1.29 as electronic submission only.	Yes, this is correct.
67.	Health Management Systems, Inc. (HMS)	Exhibit A, Pricing Schedule	47	Are vendors required to use the PDF form of Exhibit A for pricing or can the tables be inserted into the proposal directly?	Offerors may insert the tables into your proposal, however, Offerors should make their version of Exhibit A resemble the PDF form in the RFP to the greatest extent possible.
68.	Health Management Systems, Inc. (HMS)	Scope of Work, General Requirements, 4.4	5	Is the expectation that the vendor will reload 5 years of data every month or accept new data each month?	The data will be fully refreshed at least monthly.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
69.	Health Management Systems, Inc. (HMS)	Scope of Work, General Requirements, 4.7	5	What data sources will be provided by AHCCCS for validation/reconciliation purposes?	AHCCCS is responsible for data validation. Reconciliation measures will be worked out during the implementation phase.
70.	Health Management Systems, Inc. (HMS)	Scope of Work, AHCCCS Overview	4	If a vendor solution will analyze Hawaii MED-QUEST data in addition to the AHCCCS program, does the State expect two separate applications or does AHCCCS envision aggregating Arizona and Hawaii data?	The vendor should support one application with two distinct user groups. AHCCCS staff will have access to only Arizona data and Med-Quest staff only to Hawaii data.
71.	Health Management Systems, Inc. (HMS)	Scope of Work, General Requirements, 4.5	5	What other sources of information does AHCCCS plan to integrate into the solution in addition to Medicare Parts A, B, C, and D data? Does AHCCCS currently have access to the Medicare data or is the vendor expected to work with AHCCCS and CMS to develop Data Use Agreements and Medicare data access?	AHCCCS will supply the Medicare data, integrated with the AHCCCS claim and encounter data.
72.	Health Management Systems, Inc. (HMS)	Scope of Work, General Requirements, 4.31	7	For Medicaid Enterprise Certification Toolkit (MECT) requirements for the Program Integrity Checklist, does AHCCCS plan to obtain CMS certification of the solution? If yes, will the certification be in conjunction with existing program integrity within the MMIS environment?	CMS will include MECT compliance as part of their contract proposal review. No official certification is anticipated.
73.	Health Management Systems, Inc. (HMS)	General	None	Does AHCCCS plan to submit an Advanced Planning Document for enhanced funding related to development and operation of a predictive modeling FWA solution?	Yes.
74.	Health Management Systems, Inc.	Scope of Work, General Requirements,	6	Would AHCCCS consider awarding separate contracts for optional scopes of work such as functionality that supports Provider Screening and Enrollment?	See Uniform Instructions to Offerers, Section 6.1.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
	(HMS)	4.20			
75.	Health Management Systems, Inc. (HMS)	Exhibit A, Pricing Schedule	47-48	For optional elements, are vendors able to propose multiple pricing options within a particular element/category? For example, can vendors propose one price for residency/incarceration analysis and another price for asset verification?	Yes.
76.	EKS&H	General Requirements 4.2	5	<ol style="list-style-type: none"> <li>1. Will there be a need for historical data before the on-going cycles?</li> <li>2. How many files on a monthly basis?</li> <li>3. Will the files include a header and trailer record for auditing load cycles?</li> <li>4. What are the estimated record counts?</li> <li>5. Are there any purging periods?</li> <li>6. What is the length of detainment?</li> </ol>	<ol style="list-style-type: none"> <li>1. Yes, 5 years of historical data is required.</li> <li>2. This will have to be determined during the implementation phase. Minimally, AHCCCS expects to exchange core information such as Provider, Member, Claims/Encounter and appropriate reference data. Other data sources can be added as needed when available.</li> <li>3. This will have to be determined during the implementation phase, however AHCCCS will provide files in a mutually acceptable format.</li> <li>4. AHCCCS will not specify future data volumes, however record volumes will be consistent with the counts provided in the RFP.</li> <li>5. Data older than 5 years will be purged.</li> <li>6. AHCCCS is not certain of the question, however the Records Retention period is described in the Uniform Terms and</li> </ol>





## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
					Conditions , section 3.1.
77.	EKS&H	General Requirements 4.2	5	Please describe the technology components of the current environment that need to interface with the new solution. Please include data volumes or transaction volumes to/from those components.	See answers to Questions 32-33.
78.	EKS&H	General Requirements 4.3	5	Does the hosting site need to be SSAE 16 compliant?	SSAE 16 compliance is preferred but not required.
79.	EKS&H	General Requirements 4.31	7	CMS/MECT is very broad. Is the Agency's intent with this RFP to replace your current Case Management functions to comply with the full stack of CMS/MECT?	See Question #1. Case tracking will be managed outside this solution in an existing system.
80.	LexisNexis	3.1	17	Item 3.1 on page 17 indicates that there is a 25 page limit for responses. Will the State allow responses to include appendices, exhibits, etc. for additional or supplemental material, if additional content is needed but not feasible given the page limit?	AHCCCS is increasing the page limit for the Method of Approach to 35 pages with this Solicitation Amendment. Items that will be submitted electronically ONLY will not count toward this page limit.  This limit will also not include other submission requirements, such as Experience and Expertise, appendices, etc.
81.	LexisNexis	3.1	17	Special Instructions to Offerors: AHCCCS has placed a 25 page limit on vendor responses to Section 3.1 Proposal Method of Approach. There are 29 requirements vendors are to provide a “distinctive plan for providing these specialized services”. Although vendor narratives will be straightforward and limited to facts, solutions to	See #80.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				problems and plans of proposed solution, to ensure AHCCCS is provided the information required, will AHCCCS revise the page limitation to be 1 page for each item 3.1.1 through 3.1.29 listed in the RFP Proposal Method of Approach ?	
82.	LexisNexis	3.1, 3.1.12, 3.1.14, 3.1.15, 3.1.16	17, 18, 19	The RFP indicates that select items (3.1.12, 3.1.14, 3.1.15, 3.1.16) are to be submitted electronically only and not included in the hard copies. Please confirm that these items are to be included only on the 1-CD copy that contains the entire proposal response.	That is correct.
83.	LexisNexis	4.11	5	How many named users does AHCCP anticipate?	See Scope of Work Section 5.4. Assume up to an additional 10 users for Med-Quest.
84.	LexisNexis	4.13	5	What metrics are you expecting from the peer analysis comparisons?	The vendor should propose solutions that provide value to AHCCCS and Med-Quest.
85.	LexisNexis	4.16	6	Does AHCCCS utilize any code editing system today? Does AHCCCS want this service to be run in pre-pay or post-pay in the new solution?	AHCCCS applies code edits in its claim and encounter processing. All analysis is to be done post-pay.
86.	LexisNexis	4.30	7	Please clarify if a response is required to SOW 4.30. If a response is required, please identify the requirement # located on Exhibit F – Offeror’s Checklist (p55) that vendors should use to include the response.	The Method of Approach should contain the vendor’s milestone dates (3.1.29). SOW 4.30 clarifies that all systems need to be fully operational as of the date of deployment.
87.	LexisNexis	4.31	7	Please clarify if a response is required to SOW 4.31. If a response is required, please identify the requirement # located on Exhibit F – Offeror’s Checklist (p55) that vendors should use to include the response.	The Method of Approach should contain information on the SOW objectives. The vendor should acknowledge that its system conforms to the listed requirements.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
88.	BAE Systems Applied Intelligence	1, AHCCCS Overview	4	<p>Given that AHCCCS primarily works with MCOs how are encounter and provider data shared with the State? In particular:</p> <ul style="list-style-type: none"> <li>• How often are these data updated?</li> <li>• Will only encounter data be available or will claim information be available (e.g. 837 data, etc.)?</li> <li>• Is there a unified system across all MCOs and AHCCCS for provider identification?</li> </ul> <p>Are all MCO providers also required to be registered providers with the State? If not, will MCOs share their provider level information?</p>	AHCCCS will manage encounter and provider data updates. There is a unified format for claims and encounters in the extract files the vendor will receive. AHCCCS has a unified provider ID system that will be included in the data.
89.	BAE Systems Applied Intelligence	2, Project or Service Overview / Background	4	Does this RFP replace solicitation YH10-0002? Will this RFP run concurrently until YH10-0002 expires? Is there a transition period?	Yes this solicitation is for a successor to that contract. There will be a brief overlap period between the contracts.
90.	BAE Systems Applied Intelligence	2, Project or Service Overview / Background	4	Does your current fraud, waste and abuse vendor provide FFS pre-payment oversight? If so what is the percentage of recovery? Do they provide MCO post pay oversight? If so how many cases have been investigated? Have the investigation and convictions increased or decreased over the last 3 years? How many cases have lead to convictions?	Question is not relevant to this solicitation. The vendor should propose its solution to the solicitation.
91.	BAE Systems Applied Intelligence	4.1, General Requirements	5	Would the State be interested in real time fraud detection for FFS claims? This would block and hold suspicious payments for an analyst to review and make a decision on instead of pay and chase for FFS.	See answer to Question 16.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
92.	BAE Systems Applied Intelligence	4.4, General	5	What would the State expect the “miscellaneous reference files” to consist of?  How often would these be refreshed?	See answer to Question 13.  Monthly.
93.	BAE Systems Applied Intelligence	4.6, General Requirements	5	Are there any sources that the State has in mind such as income tax data Are there any sources the State already purchases that could be integrated (e.g. SSN Death Master )? Where does the State get its beneficiary and provider data feeds? How current are they?	The vendor should propose its own solutions for external data sources.
94.	BAE Systems Applied Intelligence	3.4 & 3.1.3		Do we need to name subcontractors during the bid stage or can we finalize during the signature stage?	The Offeror shall clearly list any proposed subcontractors and the subcontractor’s proposed responsibilities in the Offer.
95.	BAE Systems Applied Intelligence	4.4 & 4.6	6	Is the State intending to map and migrate its data into the vendor system, or should this be the vendor’s responsibility?	It is expected that there will be a collaborative process during the implementation phase to specify, format, and load AHCCCS’ data into the vendor’s system.
96.	BAE Systems Applied Intelligence	4.10	5	Does the State expect to receive multiple priced options for different hours of business (besides M-F 6-12)?	No.
97.	BAE Systems Applied Intelligence	4.10	5	Does the State have expectations on what service levels (e.g. availability, response, resolution time) during its hours of business?	The vendor may propose a service level as a value added in its offer. AHCCCS has not specified service level requirements in the RFP.
98.	BAE Systems Applied Intelligence	4.10	5	Does the State have expectations on what level of resiliency / disaster recovery should be provided in a vendor-hosted solution?	The vendor may propose a recovery/resiliency level as a value added in its offer. AHCCCS would prefer recovery within 24 hours.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
99.	BAE Systems Applied Intelligence	4.16, General Requirements	6	Can you provide a list of the minimal edits AHCCCS requires of its MCOs?	The vendor should not rely on or assume any MCO edits.
100.	BAE Systems Applied Intelligence	4.17, General Requirements	6	Can you define the types of queries AHCCCS would be looking to produce besides searching for members and providers (and associated interactions) and behavior patterns which would be flagged by our scoring model?	The vendor should propose its solution.
101.	BAE Systems Applied Intelligence	4.29.1, General Requirements	7	Once the contract is awarded can the project commence sooner than April 1 <sup>st</sup> 2015?	No.
102.	BAE Systems Applied Intelligence	4.29.2, General Requirements	7	Will the Hawaiian users be trained on AHCCCS site at the same time as the Arizona users? If not, can training for them be conducted remotely?	Training can be conducted remotely.
103.	BAE Systems Applied Intelligence	6.1, Award	15	How does the State plan to manage a regional award or multiple awards? Can you give us an example of how this has worked in the past?	AHCCCS intends to make a single award for this solicitation, however we have a uniform term that allows us to reserve the right to award multiple contracts if it is in the best interest of the State.
104.	BAE Systems Applied Intelligence	2, Evaluation Criteria	17	What are the weighted percentages for the evaluation criteria?	Weighted percentages will not be shared at this time.
105.	BAE Systems Applied Intelligence	4.31	7	How does the State envision the responsibilities being split between the State and the vendor with respect to the MECT toolkit?	Describe how your solution aligns with the methods and objectives outlined in the MECT toolkit including but not limited to items such as flexibility, best-practice and achieving state objectives.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
106.	BAE Systems Applied Intelligence	General		Does the State have available third party licenses that could be utilized by this project? Such as Oracle, JBoss, or Websphere..	AHCCCS will not be sharing any licenses under this contract.
107.	Sutherland Global Services	General Requirements 4.2	5	Please describe your IT Environment and systems that are relevant to this solution for interfaces? i.e. what back end systems does this solution connect to or what are the software systems used by AHCCCS for generating the file upload?	See answers to Questions 32-33.
108.	Sutherland Global Services	General Requirements 4.2	5	Do we have any unstructured data as part of the file upload?	No.
109.	Sutherland Global Services	General Requirements 4.7	5	Will AHCCCS correct the data during the data validation phase to upload?	See answer to Questions 59-60. All data validation for SOURCE data is the responsibility of AHCCCS.
110.	Sutherland Global Services	General Requirements 4.8	5	What is the expected frequency of the data refresh?	Monthly.
111.	Sutherland Global Services	General Requirements 4.14	5	Can you provide more detail on OIG custom algorithms?	See answer to Question #21.
112.	Sutherland Global Services	General Requirements 4.16	6	Can you describe the features expected along with CCI edits to the AHCCCS users?	The vendor should propose its own solution.
113.	Optum	3.1, Proposed Method of Approach	17	The Proposed Method of Approach requests a 25 page limit. As some of the Scope of Work requirements are extensive and to enable a comprehensive response, would AHCCCS consider increasing the page limitation to	See Answer to Question #80.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				50 pages excluding graphics and tables?	
114.	Optum	3.1, Proposed Method of Approach	17	The Proposed Method of Approach requests a 25 page limit. However, for several response items such as 3.1.12, 3.1.14, 3.1.15 and 3.1.16, the State requires that the vendor submit these items electronically as separate files. Do these response items count toward the 25 page limit given the breadth of information requested and scope of answer required to be compliant and complete in a response?	No, the specified items were requested electronically in order to exclude them from having to fit into the page limit. See also answer to question #80.
115.	Optum	3.1, Proposed Method of Approach	17	The Proposed Method of Approach requests a 25 page limit. As some of the Scope of Work requirements are "optional", would AHCCCS exempt responses to the "optional" requirements from the 25 page limitation, thus enabling ample response space for the required requirements?	No.
116.	Optum	Project of Service Overview/ Background	4	The past utilization of this service was approximately \$1.7 million over 5 years. As AHCCCS is seeking a number of required and potentially optional services through the RFP, and so that interested vendors can properly scope and size their responses and service offerings, would AHCCCS please provide its total contract budget for this project?	See Answer to Question #2.
117.	Optum	4.31	7	Case Tracking is not specifically listed as a requirement in the RFP. However, the system is required to satisfy the MECT Program Integrity Checklist. Even though Case Tracking is not specifically included as a requirement in	Case tracking will be managed outside this solution in an existing system.



**ANSWERS TO VENDOR QUESTIONS**

**Data Analytics Solution for Program Integrity - RFP # YH14-0042**

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<p>the MECT Checklist, case tracking capabilities have been interpreted by virtually all Medicaid programs that have issued similar procurements to include Case Tracking (because case tracking is generally interpreted to be required to meet the elements of Section 3 of the MECT Checklist--e.g., Checklist §§ 3.5 and 3.11). Accordingly, please specifically identify:</p> <ol style="list-style-type: none"> <li>a. Whether Case Tracking is a requirement of the RFP.</li> <li>b. If the answer to 5.a. above is yes, all Case Tracking requirements that the vendor will be required to meet.</li> <li>c. If the answer to 5.a. is no, the rationale for exclusion of Case Tracking as a required vendor system element.</li> <li>d. Each and every "state-specific" element (i.e., those items not specifically enumerated in the MECT Program Integrity Checklist) of the Checklist that the system must meet that are not specifically set forth in the RFP.</li> <li>e. For each element not currently set forth in the RFP as a MECT checklist requirement (either specifically enumerated or "state specific"), RFP requirement, or optional element, please identify how the vendor should price these items, given the current format of Exhibit A.</li> </ol>	





## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
118.	Optum	4.31	7.	<p>If Case Tracking is a requirement of the RFP and/or AHCCCS intends to use its own and not the vendor's Case Tracking system to meet MECT Checklist requirements:</p> <ul style="list-style-type: none"> <li>a. To assist vendors in identifying an appropriate integration method, please provide basic technical specifications for the AHCCCS Case Tracking system that will be used.</li> <li>b. Please provide the requirements for the integration expected with the AHCCCS Case Tracking system.</li> </ul>	No integration with the AHCCCS case management system is required.
119.	Optum	4.31	7.	<p>As satisfying the MECT Checklist was a requirement of the previous RFI for similar services (AHCCCS Solicitation No. YH10-0002 at Section 4.2.10):</p> <ul style="list-style-type: none"> <li>a. In the opinion of AHCCCS, did the incumbent vendor's system satisfy the MECT Program Integrity Checklist?</li> <li>b. If the answer to 7.a. is no, please specifically identify all Checklist requirements that the incumbent's system failed to meet.</li> <li>c. Did the system undergo a CMS certification review for compliance with the MECT Program Integrity Checklist's Business Area objectives and related systems review criteria necessary to meet the requirements?</li> <li>d. If the answer to 7.c. is yes, was the system certified as MECT compliant?</li> <li>e. If the answer to 7.d. is no, please specifically</li> </ul>	CMS included MECT compliance as part of their contract proposal review. No official certification was conducted and no issues were identified.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<p>identify all Checklist requirements that the incumbent's system failed to meet.</p> <p>f. Will the AHCCCS please provide a copy of all MECT and other certification review documents?</p>	
120.	Optum	4.2, 4.4, 4.5	5	<p>In order to assist vendors in developing, scoping and pricing system integration requirements:</p> <p>a. Please specifically identify all AHCCCS and other systems (e.g., MMIS and all encounter systems, Case Tracking, enrollment, eligibility, etc.) with which the vendor's systems will be required to integrate or interface.</p> <p>b. Please provide a system context diagram and basic technical specifications for each system with which the system is intended to integrate with (e.g. MMIS and all encounter systems, case management, etc.) of the aforementioned systems.</p>	See answer to Question 33.
121.	Optum	General	N/A	<p>For the fee-for-service and encounter data to be supplied to the vendor, please identify:</p> <p>a. Will the managed care encounter data be provided by the AHCCCS' claims processing system, or directly by the managed care organizations?</p> <p>b. Will the same data elements be available for both fee for service and MCO claims?</p> <p>c. Does the claim data reside in a single consolidated data warehouse? If not, please</p>	<p>See answers to Questions 33 and 60.</p> <p>AHCCCS will work with the vendor during the implementation phase to meet the vendor's data needs for its solution.</p>



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				<p>identify all sources of data, and from where the data will be derived.</p> <p>d. Will the encounter data from different MCO's be provided in a consistent format?</p> <p>e. In order for vendors to adequately scope hardware, software and other requirements for this RFP, will AHCCCS please provide copies of all file layouts for all data to be supplied to the vendor?</p>	
122.	Optum	4.2, 4.4, 4.5	5	<p>Will AHCCCS please detail all specific data sources (state-owned and external) they intend to make available to the vendor? For each such dataset that may be supplied to the vendor, please identify:</p> <p>a. File sizes, expected data volumes, number of years of data, etc.</p> <p>b. The manner and frequency of delivery for all datasets (e.g. FTP is listed in the RFP as the delivery method of state-owned data)</p>	See answers to Questions 32-33 for state-owned data. The vendor should propose external data sources it plans to use to enhance fraud detection in state-owned data.
123.	Optum	4.11	5	<p>In order to assist vendors in estimating the hardware and support for the system, for all system users please identify:</p> <p>a. The total number of unique users anticipated to use the system</p> <p>b. How many (if any) will be non-State employees</p> <p>c. The total estimated number of concurrent users</p>	See Scope of Work, Section 5. All users will be State employees.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
124.	Optum	4.2, 4.4, 4.5	5	Will AHCCCS will be responsible to secure any/all agency data agreements for access to the data sources referenced in the RFP? In not, which data sources will the vendor be expected to secure?	AHCCCS will supply all State-owned data. No other data sources are guaranteed by AHCCCS.
125.	Optum	4.10	5	Please elaborate on all requirements for the vendor's system operations, maintenance and connectivity (e.g. SLAs, uptime, etc.) not already set forth in the RFP.	The vendor should propose the advantages of its solution.
126.	Optum	General	N/A	<p>A. Is the vendor required to submit a Disaster Recovery Plan as part of the RFP?</p> <p>B. Will the vendor be required to scope, design and price in a Disaster Recovery solution?</p> <p>C. If the answer to 12.b. is yes, please identify how the vendor should price these items, given the current format of Exhibit A.</p>	There is no such requirement in the RFP. The vendor may propose a DRP as a value-added component of its solution if it wishes.
127.	Optum	4.10, 4.11, 4.12	5	Will AHCCCS utilize only their own operations staff to operate the system, or does AHCCCS require the vendor to staff the operations roles? If the vendor is expected to provide operational support, please identify all operational roles as well as number of full time equivalents that AHCCCS expects the vendor to supply so that the vendor can adequately scope and price this effort.	AHCCCS staff will operate the system, with support provided by the vendor.
128.	Optum	Exhibit A	47	Please identify the funding source(s) for this procurement (e.g. legislative appropriation, APD, etc.) Please further identify all vendor compliance requirements mandated by the funding sources (e.g. reporting requirements,	The funding source is State and Federal funding. The compliance requirements are listed in the RFP.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				records inspection, etc.)	
129.	Optum	Section 6, Sanctions; 3.4, Contract Administration and Operation; and 4.5.3, Special Terms and Conditions	8; 24; 33	AHCCCS references that it may impose sanctions for “Non-compliance.” In certain sections such as Section 6, Non-compliance is capitalized as if it is a defined term of art, while in other Sections it is denoted in a lower case format. Will AHCCCS please provide a specific definition of Non-compliance?	Non-compliance is failure to meet contract requirements.
130.	Truven Health Analytics	2. Project or Service Overview/ Background	4	AHCCCS identifies that the past utilization “of this service was approximately \$1.7 Million” in expenditures “over the life of the five (5) year contract.” Does \$1.7 Million represent the total expenditures including any federal matching funds? Or does the \$1.7 Million represent only the state portion of the expenditures related to the services?	Total expenditures include State funds and Federal Participation levels.
131.	Truven Health Analytics	2. Project or Service Overview/ Background	4	AHCCCS is requesting proposals from “experienced vendors.” Are there any quantifiable metrics AHCCCS intends to use to judge sufficiently experienced vendors (for example, number of engagements supported similar to this RFP, or number of years providing Medicaid program integrity data mining solutions)?	AHCCCS has not specified metrics. The vendor should demonstrate its experience in its proposal.
132.	Truven Health Analytics	4.5 Medicare Data	5	It is not possible to estimate storage space and load processing time for datasets that haven’t been	See answers to Questions 32-33.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question#	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
				defined. Is it sufficient for the vendor to state how additional data sources would be processed but not provide a price for the work effort? Would AHCCCS consider making this an optional requirement or clarify that you are looking for the capability to do this and not pricing to load undefined datasets?	Unpriced proposals will be deemed non-responsive.
133.	Truven Health Analytics	4.6	5	Does AHCCCS have access to any other State-owned data aside from the data that is presently utilized by AHCCCS that could be used for conducting data analytics? If so, please describe the data assets and information contained therein.	The vendor should provide its own external sources of data. AHCCCS cannot guarantee it can share other data feeds it receives.
134.	Truven Health Analytics	4.11	5	Is AHCCCS simply requesting the ability to grant specified individuals access to the system? Requirement 4.11 (i.e., restrict access within the system to certain screens based upon user role)	Yes.
135.	Truven Health Analytics	4.20	6	Several of the requirements related to Provider Enrollment and Screening in the Affordable Care Act pertain to fingerprint-based background checks and site visits. Does AHCCCS want information related to these services?	AHCCCS is interested in fingerprint-based solutions but not site visits.
136.	Truven Health Analytics	4.29	7	Please clarify the level of detail the state would like in the project schedule submitted with our response. Is the project schedule in the proposal response intended to reflect the vendor's overall approach to the project or is the schedule required to provide task level detail of vendor's work activities and the all required deliverables?	AHCCCS would prefer as much detail as it is possible to provide given the page limits.



## ANSWERS TO VENDOR QUESTIONS

### Data Analytics Solution for Program Integrity - RFP # YH14-0042

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
137.	Evolutionary Systems Corp.	General		What is the estimated size of data?	See answer to Question 32.
138.	Evolutionary Systems Corp.	General		Will all the data be provided in pre-defined format?	See answer to Question 33.
139.	Evolutionary Systems Corp.	General		What is the frequency of upload required with the data?	Monthly.
140.	Evolutionary Systems Corp.	General		Is all the data required for the analysis of fraud captured in existing systems?	The vendor should propose the best possible solution for detection of fraud, waste, and abuse, regardless of the source of the data.
141.	Evolutionary Systems Corp.	General		What is the existing architecture of fraud detection algorithm?	The vendor should propose its own comprehensive set of fraud algorithms.
142.	Evolutionary Systems Corp.	General		Is the current BI environment currently meeting technical needs for IT management and staff from an infrastructure perspective?	Question is not relevant to this solicitation.
143.	Evolutionary Systems Corp.	General		Is the current BI environment meeting the needs for end users, analysts and executive staff from a usability and end user adoption standpoint?	Question is not relevant to this solicitation.
144.	Evolutionary Systems Corp.	General		What tools and processes are in place for detecting fraud and outliers? Has the customer deployed data mining and/or data discovery platforms?	Question is not relevant to this solicitation.
145.	Evolutionary Systems Corp.	General		Is the end user community asking for greater autonomy in analytics vs traditional IT provisioned analytics?	Question is not relevant to this solicitation.
146.	Evolutionary Systems Corp.	General		Is the customer considering a Big Data solution in conjunction with the data warehouse?	Question is not relevant to this solicitation.



**ANSWERS TO VENDOR QUESTIONS**

**Data Analytics Solution for Program Integrity - RFP # YH14-0042**

Question #	VENDOR NAME	Paragraph # or Title	Page #	Vendor Question	AHCCCS Response
147.	Evolutionary Systems Corp.	General		Can you share examples of current reports?	The vendor should demonstrate the features of its own solution.
148.	Evolutionary Systems Corp.	General		Can you share mockup examples of desired future reports?	The vendor should demonstrate the features of its own solution.
149.	Evolutionary Systems Corp.	General		Can you share the standard client desktop and or Mobile Build for web browsing please provide the current product and version, i.e., Internet Explore Ver; Google; Firefox?	AHCCCS uses Windows Internet Explorer version 9.
150.	Evolutionary Systems Corp.	General		Can you share the Authentication tools used, and will this tool be used to authenticate the new reporting and analytics solution?	The vendor should provide its own authentication features into the system.