



Tribal ALTCS Weekly Reports - CES

Presented by: Rachel Conley, Tribal ALTCS Administrator

COST EFFECTIVENESS STUDY (CES) OVERVIEW

Services provided under Title XIX shall be cost effective whether the placement is in an institutional facility or a Home and Community Based (HCB) setting. TRUE OR FALSE

When is placement in an HCB setting considered appropriate and Cost Effective for a specific member:

When should the CES be reviewed and updated?

COST EFFECTIVENESS STUDY (CES) OVERVIEW

TRUE: Services provided under Title XIX shall be cost effective whether the placement is in an institutional facility or a Home and Community Based (HCB) setting.

- Elderly and/or have a Physical Disability (E/PD) in a HCB setting
- E/PD members currently placed in an institutional setting who have discharge potential

When is placement in an HCB setting considered appropriate and Cost Effective for a specific member:

- Does not exceed 100% of the net cost of institutional care for that member
- Is the least restrictive setting and
- HCBS will meet the member's needs

When should the CES be reviewed and updated?

- Prior to placement change to HCBS
- Annually for all HCBS members
- When there is a change in:
 - Member's Medical Condition
 - Authorized Services
 - Service Rates/SOC (Typically annually in October & January)

COST EFFECTIVENESS STUDY (CES) OVERVIEW Cont.

Does your program have a process that evaluates the net cost of institutional care that meets the requirements of AMPM 1620-C?

- Calculation on institutional costs stratified for levels of care and specialized needs
- Annual re-assessment and adjustment of the institutional rates based upon changes in costs associated with the assessed levels of care and specialized needs, and
- Implementation of processes consistent with this policy, for determination and evaluation of CES for each member and processes for resolution of cases where the net HCBS cost exceeds the net cost of institutional care.



PMMIS CA160 can help the case manager determine if the services provided to member in an HCB setting is Cost-Effective.

- Compares both the Institutional costs and HCBS Cost
- Compares the cost and outcome of an intervention
- Helps to determine if the value of the interventions justify the cost and services provided to the member

COST EFFECTIVENESS STUDY (CES) OVERVIEW Cont.

What three factors should the case manager assess when determining the net cost of institutional care for a specific member?

- Level of Care (PCSP, HNT, and UAT)
- Institutional Rate appropriate for the member's level of care
 - 0191
 - 0192
 - 0193
 - 0194
- Amount of the specific member's "CES Share of Cost"

If the member has needs that would necessitate a specialized rate (0194) in an institutional setting for example:

- Alzheimer's or
- Behavioral unit
- Residential treatment center
- Extensive respiratory care

This cost shall be used in calculating the cost effectiveness of HCBS

COST EFFECTIVENESS STUDY (CES) OVERVIEW Cont.

Examples of CES \geq 80%

Total Nursing Home Cost		\$4920.10
CES Share of Cost	-	\$ 726.90
Net Institutional Cost	=	\$4193.20
SERVICES THAT CAN COST EFFECTIVELY BE PROVIDED		
40 hours of Attendant Care		\$2924.00
11 Nursing visits per month	+	\$1144.00
Net Home Services Cost	=	\$4068.00
\$4068.00 DIVIDED BY \$4193.20 = 97% REQUESTED HCB SERVICES ARE COST EFFECTIVE		

When the cost of HCBS equals to or exceeds 80% of the cost of institutional care:

- Tribal ALTCS Case Managers shall submit the required information to the AHCCCS/Division of Fee-for-Service Management (DFSM)/Tribal ALTCS Unit as a request for approval.

Examples of CES \geq 100%

Total Nursing Home Cost		\$4920.10
CES Share of Cost	-	\$726.90
Net Institutional Cost	=	\$4193.20
SERVICES MEMBER NEEDS		
40 hours of Attendant Care per week		\$2924.00
12 Nursing visits per month	+	\$1341.60
Net HCBS Cost	=	\$4265.60
\$4265.60 DIVIDED BY \$4193.20 = 102% REQUESTED HCBS ARE NOT COST EFFECTIVE		

If the cost of HCBS is expected to exceed 100% of net institutional cost for more than six months:

- The Case Managers shall submit the required information to the AHCCCS/Division of Fee-for-Service Management (DFSM)/Tribal ALTCS Unit as a request for approval.
- The Case Manager shall advise the member of the cost effectiveness limitations of the program and discuss other options.

COST EFFECTIVENESS STUDY (CES) DEFICIENCIES

Case managers are not updating PMMIS CAT Screens

CES entry (CA160) does not match the SNF admission identified on Service Plan (CA165)

TR: CA165 AHCCCS - LONG TERM CARE
 NTR: I CMP - SERVICE PLAN
 KEY DATE: WORKER ID:

A	SER	-MOD-	EFF DATE	END DATE	UNITS	UNIT	CST	TOT	USD	PROV	RSN	MNDD
-	S5125		04/01/2024	04/17/2024	492		6.57		0			04/10/24
-	A23		04/18/2024	04/23/2024	6		0.00		0			04/19/24
-	0193		04/25/2024	04/30/2024	6		275.99		0			04/25/24
-	0193		05/01/2024	05/31/2024	31		275.99		31			05/01/24
-	0193		06/01/2024	06/30/2024	30		275.99		30			05/21/24
-	0193		07/01/2024	07/31/2024	31		275.99		0			05/21/24
-	0193		08/01/2024	08/31/2024	31		275.99		0			05/21/24
-	0193		09/01/2024	09/30/2024	30		275.99		0			05/21/24

COMMENTS: Y

Z171 ACTIVE IN HEA Z022 MORE DATA AVAILABLE
 1=HELP 2=CA000 3=COM 4=EDSUM 5=CA162 6=CA166 9=SUP 10=SDN 11=CLR 21=TOP 22=BOT

TR: CA160 AHCCCS - LONG TERM CARE
 NTR: I CMP - COST-EFFECTIVENESS STUDY
 CES DATE: 04/10/2024 ASSESS DATE:

LOC: INST GRS COST: \$ 8279.70 SOC: \$ 0.00 NET COST: \$ 8279.70
 HCBS GRS COST: \$ SOC: \$ 0.00 NET COST: \$ 0.00

SERVICE	UNIT	MONTH 1	MONTH 2	MONTH 3	AVG COST				
CODE	MOD	COST	UNITS	COST	UNITS	COST	UNITS	COST	PER MONTH
NONE									

COMMENTS: N CUR PLACEMENT: Q DATE: 04/25/2024 REASON: 02
 HCBS PRCNT : 0% SSI PRCNT: _____

2012 BEGINNING OF FILE
 1=HLP 2=CA000 3=COM 4=EDSUM 5=CA070 6=CA161 7=SBK 8=SFD 9=SUP 10=SDN 11=CLR/ADD

MA + A EZBSIMLU 03/007

COST EFFECTIVENESS STUDY (CES) DEFICIENCIES

Case managers not updating PMMIS CAT Screens

Member's CES Date 02/02/24 does not match the service dates on CA165.

TR: CA160 AHCCCS - LONG TERM CARE
 NTR: I _____ CMP - COST-EFFECTIVENESS STUDY

CES DATE: 02/02/2024 ASSESS DATE: _____

LOC: INST GRS COST: \$ 6866.70 SOC: \$ 567.55 NET COST: \$ 6299.15
 HCBS GRS COST: \$ 5702.76 SOC: \$ 0.00 NET COST: \$ 5702.76

SERVICE	UNIT	MONTH 1	MONTH 2	MONTH 3	AVG COST
CODE MOD	COST	UNITS	COST	UNITS	PER MONTH
SS125 U5	6.57	868	5702.76	868	5702.76
			5702.76	868	5702.76

TR: CA165 AHCCCS - LONG TERM CARE
 NTR: I _____ CMP - SERVICE PLAN
 KEY DATE: _____ WORKER ID: _____

A	SER	-MOD-	EFF DATE	END DATE	UNITS	UNIT CST	TOT USD	PROV	RSN	MNDD
-	A23		01/08/2024	01/09/2024	2	0.00	0			01/08/24
-	SS125	U5	01/10/2024	01/31/2024	440	6.57	440			01/10/24
-	SS125	U5	02/01/2024	02/29/2024	804	6.57	784			01/10/24
-	SS125	U5	03/01/2024	03/31/2024	868	6.57	220			01/10/24
-	SS125	U5	04/01/2024	04/30/2024	840	6.57	0			04/05/24
-	SS125	U5	05/01/2024	05/31/2024	868	6.57	784			04/05/24
-	SS125	U5	06/01/2024	06/30/2024	840	6.57	0			04/25/24
-	SS125	U5	07/01/2024	07/31/2024	868	6.57	0			04/25/24

COST EFFECTIVENESS STUDY (CES) DEFICIENCIES

Case mangers not updating PMMIS CAT Screens

Member's CES LOC: INST GRS COST does not match the member's LOC on CA162.

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TR: CA162          AHCCCS - LONG TERM CARE
NTR:  I          COMMUNITY FIRST CHOICE

[REDACTED]

AGENCY WITH CHOICE (Y/N) [REDACTED]
SELF-DIRECTED ATTENDANT CARE (Y/N) [REDACTED]
EMPLOYMENT STS (A-F): [REDACTED]
EDUCATION LEVEL (A-T): [REDACTED]
LEVEL OF CARE (1-7): 5 BEHAVIORAL
INCONTINENCE STS (L/I): [REDACTED]
ANTIPSYCHOTIC MEDICATIONS (Y/N): Y
MAJOR DIAGNOSIS (A-T) 1: [REDACTED]
                       2:
                       3:

1=HELP 2=CA000    4=MSG 5=CA161 6=CA165    11=CLR 12=ESC
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TR: CA160          AHCCCS - LONG TERM CARE
NTR:  I          CMP - COST-EFFECTIVENESS STUDY

CES DATE: 03/04/2024  ASSESS DATE:

[REDACTED]

LOC:  INST GRS COST: $ 6866.82  SOC: $ 0.00  NET COST: $ 6866.82
      HCBS GRS COST: $ 7850.10  SOC: $ 0.00  NET COST: $ 7850.10

SERVICE  UNIT      MONTH 1      MONTH 2      MONTH 3      AVG COST
CODE MOD  COST      UNITS  COST      UNITS  COST      UNITS  COST      PER MONTH
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

COMMENTS: Y  CUR PLACEMENT: H  DATE: 03/04/2024  REASON: 13
HCBS PRCNT : 114%  SSI PRCNT:

Z171 ACTIVE IN HEA      Z011 END OF FILE
1=HLP 2=CA000 3=COM 4=EDSUM 5=CA070 6=CA161 7=SBK 8=SFD 9=SUP 10=SDN 11=CLR/ADD
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COST EFFECTIVENESS STUDY (CES) REPORTS

SUPERVISOR REVIEWS SHOULD INCLUDE REVIEW WEEKLY CES REPORTS:

- Review Tribal ALTCS Comments in PMMIS
- Follow up with the case manager to ensure a packet has been submitted to AHCCCS/DFSM for any member's that have a CES that is = or > 80%.
- Ensure case manager updates PMMIS Comments screen
- Ensure all screens in PMMIS align to the PCSP, UAT, HNT and case manager case notes, and facility progress notes when applicable.
 - LOC
 - INST GRS COST
 - Service Plan
 - Place of Service does not match the member's LOC on CA162.

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