

1230-A - ASSISTED LIVING FACILITIES

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APPROVAL DATES: 07/01/99, 10/01/01, 07/01/04, 03/01/06, 06/01/07, 10/01/07, 07/01/12,
03/01/13, 05/01/13, 10/01/13, 10/17/19, 07/07/22

I. PURPOSE

This Policy applies to ALTCS E/PD Contractors and the Fee-For-Service (FFS) Program Tribal ALTCS. This Policy establishes requirements for Assisted Living Facilities designed for ALTCS members who are physically or functionally unable to live in their own home, but do not need the care intensity of a nursing facility.

II. DEFINITIONS

Definitions are located on the AHCCCS website at: [AHCCCS Contract and Policy Dictionary](#).

III. POLICY

REQUIREMENTS

The members may receive services in Alternative Home and Community Based Services (HCBS) Settings as defined in AAC R9-28-101. Members are to live in the most integrated and least restrictive setting and have full access to the benefits of community living. To that end, members are to be afforded the choice of living in their own home or choosing an Alternative HCBS Setting rather than residing in an institution. The Assisted Living Facilities ALFs are designed for members who are physically or functionally unable to live in their own home, but do not need the care intensity of a Nursing Facility (NF). The ALFs provide supervisory care services, personal care services, or directed care services on a continuing basis. Additionally, the ALFs are required to provide residents with adequate recreation and socialization opportunities. The ALFs shall maintain compliance with the Home and Community Based Settings Rule under section 1915 of the Social Security Act [42 CFR 438.3(o); 42 CFR 441.301(c)(4)].

1. The ALFs shall be located in the community among other residential buildings, private businesses, retail businesses, etc. in an effort to facilitate integration with the greater community. ALFs that are co-located on the grounds of Skilled Nursing Facilities (SNF), shall be licensed and operate separately and apart from one another.
2. The members may receive services in an ALF that are not included in the ALFs scope of service and therefore, not provided by the ALF. These services shall be authorized by the member's case manager after being determined medically necessary and cost effective. The following services are not incorporated in the ALF per diem and are billed separately by the service provider. These services may include but are not limited to the following:
 - a. Physical health services,
 - b. Medical equipment and supplies,

- c. Therapies,
 - d. Transportation,
 - e. Behavioral health services,
 - f. Home health services, and
 - g. Adult day health services.
3. The ALFs shall foster interaction with the general community internal and external to the setting. Examples of fostering interaction with the general community internal to the setting may include peers without disabilities visiting the setting to provide information, instruction, training, support and/or to participate in activities. Examples of fostering interaction with the general community external to the setting may include facilitating activities outside of the setting whereby members are directly engaged in activities with peers without disabilities individuals of varying age levels.
 4. The ALFs shall facilitate access to community resources and activities. For example, this may include Assisting members in utilizing community transportation resources including mobility and transportation training and shall facilitate access to community resources and activities. For example, this may include:
 - a. Assisting members to arrange for personal care to support engagement in community activities either support facilitated by the provider or an informal support, and
 - b. Exercise strategies for providing and facilitating social and recreational activities that do not regiment individual initiative, autonomy, and independence in making life choices including but not limited to:
 - i. Daily activities,
 - ii. Physical environment, and/or
 - iii. With whom to interact.

Examples may include strategies for facilitating alternate schedules for members and ensuring individuals always have full access to common areas at any time (e.g., kitchen, laundry room, dining room, living room, etc.)

5. The ALFs member's service plan must be updated upon request of the member and must be combined with the member's Person Centered Service Plan development facilitated by the Case Manager.
6. ALFs shall refer members to their Case Manager if they express a desire to work/volunteer and/or demonstrate work related skills that may be an indication of their desire to work/volunteer.
7. The ALF Managers shall institute practices to engage customer satisfaction with residents including satisfaction with the caregiver providing services.
8. The adult day health services are provided only with specific detailed justification by the ALTCS case manager and with approval by the ALTCS Contractor or Tribal ALTCS Program.
9. Refer to AMPM Chapter 300 and Chapter 1200 for a description of these services, and any limitations to ALTCS coverage.

10. Refer to AMPM Exhibit 1620-15 for information regarding, and a copy of, the ALF Residency Agreement used by ALTCS case managers to specify the ALTCS standards for Assisted Living services.

11. Although ALFs are required to provide room and board, room and board is not an AHCCCS covered service for these facilities. The room and board amount are determined by the ALTCS Contractor and Tribal ALTCS Program and shall be the responsibility of the member. It is also permissible for someone to pay room and board on behalf of the member.