



EXHIBIT 320-3
SERIOUS MENTAL ILLNESS DETERMINATION

_____ Name of Person receiving SMI Determination

_____ Date of Birth

OPEN UNTIL 12/10/16

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Psychotic Disorders (F20.0, F20.1, F20.2, F20.3, F20.5, F20.9, F21, F22, F25.0, F25.1, F25.8, F25.9, F28, F29); Bipolar Disorders (F31.0, F31.1, F31.10, F31.11, F31.12, F31.13, F31.2, F31.30, F31.31, F31.32, F31.4, F31.5, F31.60, F31.61, F31.62, F31.63, F31.64, F31.70, F31.71, F31.72, F31.73, F31.74, F31.75, F31.76, F31.77, F31.78, F31.81, F31.89, F31.9, F34.0-); Obsessive-Compulsive Disorder (F42.2³, F42.8, F42.9); Depressive Disorder (F32.0, F32.1, F32.2, F32.3, F32.4, F32.5, F32.8⁴, F32.9, F33.0, F33.1, F33.2, F33.3, F33.4, F33.40, F33.41, F33.42, F33.9, F34.1); Other Mood Disorders (F39); Anxiety Disorders (F40.00, F40.01, F40.02, F41.0, F41.1, F41.8, F41.9); Post Traumatic Stress Disorder (F43.10, F43.11, F43.12); Dissociative Disorder (F44.81) Personality Disorders (F60.0, F60.1, F60.3, F60.4, F60.5, F60.6, F60.7, F60.81, F60.89, F60.9) ~~Other specified obsessive-compulsive and related disorder (F42.8); Unspecified obsessive compulsive and related disorder (F42.9)~~⁵

1(a) The above noted diagnosis(es) is/are suggested based upon the following signs and symptoms of the mental disorder(s): (Provide descriptions of both positive (confirming) finding and negative (“rule-out”) findings for other diagnoses that were considered)

OPEN UNTIL 12/31/16

³ Post APC Change Revised code
⁴ Post APC Change Revised code
⁵ Post APC Change New codes added

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2. 2. Preliminary Recommendation of Functional Criteria: As a result of the above diagnosis, the person exhibits any item listed under 2 (a), (b) and/or (c) for most of the past twelve months or for most of the past six months with an expected continued duration of at least six months:

3.2.

- 2(a) Inability to live in an independent or family setting w/o supervision (Self Care/Basic Needs)** - The person's capacity to live independently or in a family setting, including the capacity to provide or arrange for needs such as food, clothing, shelter and medical care.
 - Neglect or disruption of ability to attend to basic needs.
 - Needs assistance in caring for self.
 - Unable to care for self in safe or sanitary manner.
 - Housing, food and ~~clothing~~ **clothing** must be provided or arranged for by others.
 - Unable to attend to the majority of basic needs of hygiene, grooming, nutrition, medical- and dental care.
 - Unwilling to seek prenatal care or necessary medical/dental care for serious medical or dental conditions.
 - Refuses treatment for life threatening illnesses because of behavioral health disorder.

- 2(b) A risk of serious harm to self or others (Social/Legal and/or Feeling/Affect/Mood)** - The extent and ease with which the person is able to maintain conduct within the limits prescribed by law, rules and social expectations, and/or the extent to which the person's emotional life is well modulated or out of control.
 - Seriously disruptive to family and/or community.
 - Pervasively or imminently dangerous to others' bodily safety.
 - Regularly engages in assaultive behavior.
 - Has been arrested, incarcerated, hospitalized or at risk of confinement because of dangerous behavior.
 - Persistently neglectful or abusive towards others in the person's care.
 - Severe disruption of daily life due to frequent thoughts of death, suicide, or self-harm, often with behavioral intent and/or plan.

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- Affective disruption causes significant damage to the person's education, livelihood, career, or personal relationships.
- 2(c) Dysfunction in Role Performance** - Person's capacity to perform the present major role function in society school, work, parenting or other developmentally appropriate responsibility.
 - Frequently disruptive or in trouble at work or at school.
 - Frequently terminated from work or suspended/expelled from school.
 - Major disruption of role functioning.
 - Requires structured or supervised work or school setting.
 - Performance significantly below expectation for cognitive/developmental level.
- Unable to work, attend school, or meet other developmentally appropriate responsibilities.

The above noted Functional Criteria ratings are suggested based upon the following information regarding this person's functioning: (Provide a description of both the positive (confirming) findings and negative ("rule-out") findings of the functioning of this person)

4.3.3 Risk of Deterioration

- The person does not currently meet any one of the above functional criteria 2(a) through 2(c) but may be expected to deteriorate to such a level without treatment.
 - A qualifying diagnosis with probable chronic, relapsing and remitting course.
 - Co-morbidities (like mental retardation, substance dependence, personality disorders, etc.)



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